

How can I identify compliance risks?

We conducted a compliance risk analysis with our client [E.ON SE](#). What makes it different from classical compliance risk assessment: in addition to known legal risks, we were also able to identify previously unknown compliance breaches, as well as their causes and triggers.

Our approach: Compliance breaches are adaptively rational

Analyze environment

Compliance violations do not occur in an organizational (cultural) vacuum but represent behavior that is adapted to local intra- and extra-organizational circumstances. In the first step of our analysis, we analyze these circumstances.



Capture implicit knowledge

Our interview methods and surveys enable us to capture the intuition of staff members regarding specific hazardous events as well as the effectiveness of compliance measures in their area of operations.



Identify events

This approach enabled us to identify compliance risks that were previously unknown to our client and at the same time adapt compliance measures to the local operational circumstances in order to ensure maximum effectiveness.



Advantages of our Behavioral Compliance-approach



Through our analysis, we first identified unknown compliance risks as well as their underlying dynamics.



We were then able to quantify these potential risks using methods from classical compliance risk assessment.



Combining classical approaches with behavioral compliance and statistical methods enabled our client to identify previously unknown compliance risks and to prevent them effectively.



Would you also like to identify previously unknown compliance risks in your company and effectively prevent compliance breaches?

Contact us before it is too late: good.decisions@simplyrational.de