

**Substantial Amendment Notification Form (Cf. Section 3.7.b of the *Detailed guidance on the request to the competent authorities for authorisation of a clinical trial on a medicinal product for human use, the notification of substantial amendments and the declaration of the end of the trial*<sup>1</sup>)**

**NOTIFICATION OF A SUBSTANTIAL AMENDMENT TO A CLINICAL TRIAL ON A MEDICINAL PRODUCT FOR HUMAN USE TO THE COMPETENT AUTHORITIES AND FOR OPINION OF THE ETHICS COMMITTEES IN THE EUROPEAN UNION**

*For official use:*

|  |   |
|--|---|
| Date of receiving the request :  | Grounds for non acceptance/ negative opinion : <input type="checkbox"/><br>Date : |
| Date of start of procedure:  | Authorisation/ positive opinion : <input type="checkbox"/><br>Date :              |
| Competent authority registration number of the trial:<br>Ethics committee registration number of the trial : | Withdrawal of amendment application <input type="checkbox"/><br>Date :            |

*To be filled in by the applicant:*

This form is to be used both for a request to the Competent Authority for authorisation of a **substantial** amendment and to an Ethics Committee for its opinion on a **substantial** amendment. Please indicate the relevant purpose in Section A.

**A TYPE OF NOTIFICATION**

|   |          |
|---|----------|
| <b>A.1 Member State in which the substantial amendment is being submitted: Sweden</b> |          |
| <b>A.2 Notification for authorisation to the competent authority:</b>                 | <b>x</b> |
| <b>A.3 Notification for an opinion to the ethics committee:</b>                       | <b>x</b> |

**B TRIAL IDENTIFICATION** (*When the amendment concerns more than one trial, repeat this form as necessary.*)

|   |
|---|
| <b>B.1 Does the substantial amendment concern several trials involving the same IMP?<sup>2</sup></b> yes <input type="checkbox"/> no <b>x</b> |
| B.1.1 If yes repeat this section as necessary.  |

|   |
|---|
| <b>B.2 Eudract number: 2020-000233-41</b>   |
| <b>B.3 Full title of the trial :</b> OPTION – OutPatient InductiON:<br>Labour induction in an outpatient setting - a multicenter randomized controlled trial. |
| <b>B.4 Sponsor's protocol code number, version, and date:</b> version 11, 22nd December 2022  |

**C IDENTIFICATION OF THE SPONSOR RESPONSIBLE FOR THE REQUEST**

|  |
|--|
| <b>C.1 Sponsor</b>   |
| C.1.1 Organisation: Sahlgrenska University Hospital, Gothenburg, Sweden, Västra Götalandsregionen        |
| C.1.2 Name of person to contact: Verena Sengpiel   |
| C.1.3 Address : Verksamhet obstetrik, Sahlgrenska Universitetssjukhuset Diagnosvägen 15, 416 50 Göteborg |
| C.1.4 Telephone number : +46 704 223475  |
| C.1.5 Fax number : /   |
| C.1.6 e-mail: verena.sengpiel@obgyn.gu.se  |

|  |
|--|
| <b>C.2 Legal representative<sup>3</sup> of the sponsor in the European Union for the purpose of this trial (if different from the sponsor)</b> |
| C.2.1 Organisation: Sahlgrenska University Hospital, Gothenburg, Sweden, Västra Götalandsregionen  |
| C.2.2 Name of person to contact: Corinne Pedroletti  |
| C.2.3 Address : Verksamhet obstetrik, Sahlgrenska Universitetssjukhuset Diagnosvägen 15, 416 50 Göteborg                                       |
| C.2.4 Telephone number : +46 72-2096857  |
| C.2.5 Fax number : /   |
| C.2.6 e-mail: corinne.pedroletti@vgregion.se   |

**D APPLICANT IDENTIFICATION (please tick the appropriate box)**

<sup>1</sup> OJ, C82, 30.3.2010, p. 1; hereinafter referred to as 'detailed guidance CT-1'.  
<sup>2</sup> Cf. Section 3.7. of the detailed guidance CT-1.  
<sup>3</sup> As stated in Article 19 of Directive 2001/20/EC.

| <b>D.1 Request for the competent authority</b>                                  |                          |
|---|--------------------------|
| D.1.1 Sponsor   | x                        |
| D.1.2 Legal representative of the sponsor                                       | <input type="checkbox"/> |
| D.1.3 Person or organisation authorised by the sponsor to make the application. | <input type="checkbox"/> |
| D.1.4 Complete below:   |                          |
| D.1.4.1 Organisation :  |                          |
| D.1.4.2 Name of person to contact :   |                          |
| D.1.4.3 Address :   |                          |
| D.1.4.4 Telephone number :  |                          |
| D.1.4.5 Fax number :  |                          |
| D.1.4.6 E-mail  |                          |

| <b>D.2 Request for the Ethics Committee</b>                                     |                          |
|---|--------------------------|
| D.2.1 Sponsor   | x                        |
| D.2.2 Legal representative of the sponsor                                       | <input type="checkbox"/> |
| D.2.3 Person or organisation authorised by the sponsor to make the application. | <input type="checkbox"/> |
| D.2.4 Investigator in charge of the application if applicable <sup>4</sup> :    |                          |
| • Co-ordinating investigator (for multicentre trial)                            | <input type="checkbox"/> |
| • Principal investigator (for single centre trial):                             | <input type="checkbox"/> |
| D.2.5 Complete below  |                          |
| D.2.5.1 Organisation :  |                          |
| D.2.5.2 Name :  |                          |
| D.2.5.3 Address :   |                          |
| D.2.5.4 Telephone number :  |                          |
| D.2.5.5 Fax number :  |                          |
| D.2.6 E-mail :  |                          |

## **E SUBSTANTIAL AMENDMENT IDENTIFICATION**

|   |
|---|
| <b>E.1 Sponsor's substantial amendment code number, version, date for the clinical trial concerned:</b> ( ) |
|---|

| <b>E.2 Type of substantial amendment</b>   |  |
|--|--|
| E.2.1 <b>Amendment to information in the CT application form</b>                                   | yes <input type="checkbox"/> no x                        |
| E.2.2 <b>Amendment to the protocol</b>   | yes x no <input type="checkbox"/>                        |
| E.2.3 <b>Amendment to other documents appended to the initial application form</b>                 | yes x no <input type="checkbox"/>                        |
| E.2.3.1 If yes specify:  |  |
| E.2.4 <b>Amendment to other documents or information:</b>  | yes <input type="checkbox"/> no <input type="checkbox"/> |
| E.2.4.1 If yes specify: FPI_Kvinnan RCT_OPTION, FPI_Partner RCT_OPTION, 6.1_DSMB-Charter-OPTION    |  |
| E.2.5 <b>This amendment concerns mainly urgent safety measures already implemented<sup>5</sup></b> | yes <input type="checkbox"/> no X                        |
| E.2.6 <b>This amendment is to notify a temporary halt of the trial<sup>6</sup></b>                 | yes <input type="checkbox"/> no x                        |
| E.2.7 <b>This amendment is to request the restart of the trial<sup>7</sup></b>                     | yes <input type="checkbox"/> no x                        |

<sup>4</sup> According to national legislation.

<sup>5</sup> Cf. Section 3.9. of the detailed guidance CT-1.

<sup>6</sup> Cf. Section 3.10. of the detailed guidance CT-1.

<sup>7</sup> Cf. Section 3.10. of the detailed guidance CT-1.

|   |   |   |
|---|---|---|
| <b>E.3 Reasons for the substantial amendment:</b> |   |   |
| E.3.1   | <b>Changes in safety or integrity of trial subjects</b>   | yes <input type="checkbox"/> no <input checked="" type="checkbox"/> |
| E.3.2   | <b>Changes in interpretation of scientific documents/value of the trial</b>   | yes <input type="checkbox"/> no <input checked="" type="checkbox"/> |
| E.3.3   | <b>Changes in quality of IMP(s)</b>   | yes <input type="checkbox"/> no <input checked="" type="checkbox"/> |
| E.3.4   | <b>Changes in conduct or management of the trial</b>  | yes <input checked="" type="checkbox"/> no <input type="checkbox"/> |
| E.3.5   | <b>Change or addition of principal investigator(s), co-ordinating investigator</b>  | yes <input checked="" type="checkbox"/> no <input type="checkbox"/> |
| E.3.6   | <b>Change/addition of site(s)</b>   | yes <input checked="" type="checkbox"/> no <input type="checkbox"/> |
| E.3.7   | <b>Other change</b>   | yes <input checked="" type="checkbox"/> no <input type="checkbox"/> |
| E.3.7.1   | <b>If yes, specify:</b> Change regarding where labelling of study medication will happen, change regarding DSMB members and chair of DSMB, new information material, a small update in the consent form, request that even midwives with appropriate education and GCP certification can sign informed consent. |   |
| E.3.8   | <b>Other case</b>   | yes <input type="checkbox"/> no <input checked="" type="checkbox"/> |
| E.3.8.1   | <b>If yes, specify</b>  |   |

|   |   |  |
|---|---|--|
| <b>E.4 Information on temporary halt of trial<sup>8</sup></b> |   |  |
| E.4.1   | <b>Date of temporary halt</b> (YYYY/MM/DD)  |  |
| E.4.2   | <b>Recruitment has been stopped</b>   | yes <input type="checkbox"/> no <input type="checkbox"/> |
| E.4.3   | <b>Treatment has been stopped</b>   | yes <input type="checkbox"/> no <input type="checkbox"/> |
| E.4.4   | Number of patients still receiving treatment at time of the temporary halt in the MS concerned by the amendment ( )   |  |
| E.4.5   | <b>Briefly describe (free text):</b>  |  |
|   | <ul style="list-style-type: none"> <li>Justification for a temporary halt of the trial</li> <li>The proposed management of patients receiving treatment at time of the halt (<i>free text</i>).</li> </ul> <p>The consequences of the temporary halt for the evaluation of the results and for overall risk benefit assessment of the investigational medicinal product (<i>free text</i>).</p> |  |

**F DESCRIPTION OF EACH SUBSTANTIAL AMENDMENT<sup>9</sup> (*free text*):**

| Previous and new wording in track change modus   | New wording   | Comments/explanation/reasons for substantial amendment  |
|--|---|---|
| In the study protocol:   |   |   |
| Anna Hagman, DSMB  | Anna Hagman, chair of DSMB  | The former chair cannot continue due to personal reasons, vice chair takes over as chair.   |
| Ellika Andolf, vice chair of DSMB  | /   | New DSMB member as Charlotta Grunewald had to quite due to personal reasons.  |
| New study site (Skellefteå) and new local PIs for Jönköping, Norrköping and Trollhättan as presented on page 10 in the study protocol  | 10 Jönköping Region<br>Jönköpings län Anna Cala<br>18 Norrköping Region<br>Östergötland Ushani Mohapatra<br>20 Skellefteå Region<br>Västerebotten Linda Mikaelsson<br>30 Trollhättan Västra<br>Götalandregioneen Martin<br>Berndtsson         | Personal reasons such as change of employment, parental leave etc. Skellefteå joins as new study site.  |
| 6.1 Inclusion criteria<br>To be included in the study, subjects must meet the following criteria:<br>Eligible participants are healthy women between ≥37+0 and 41+6 gestational weeks with a modified Bishop score <6 (<5 in parous women) planned for | Eligible participants are healthy women between ≥37+0 and 41+6 gestational weeks with a modified Bishop score <6 (<5 in parous women) planned for induction at one of the participating hospitals.<br>Study participants will receive written | Please see even attachment “Signering FPI OPTION 221222”:<br>Patient evaluation and induction of low-risk pregnancies such as eligible for the OPTION study lies within the midwives’ area of competence and duty |

<sup>8</sup> Cf. Section 3.10. of the detailed guidance CT-1.

<sup>9</sup> Cf. Section 3.7.c. of the detailed guidance CT-1. The sponsor may submit this documentation on a separate sheet.

|  |  |   |
|--|--|---|
| <p>induction at one of the participating hospitals.</p> <p>Study participants will receive written and oral information on the study and will be included into the study by the responsible medical doctor or midwife according to good clinical practice.</p> <p>The subject has given written consent to participate in the study.</p>   | <p>and oral information on the study and will be included into the study by the responsible medical doctor or midwife according to good clinical practice.</p> <p>The subject has given written consent to participate in the study.</p>   |   |
| <p>7.1 [...]<br/>Angusta®<br/>Tablett 25 microgram misoprostol 8 tablett(er) Blister<br/>Varunummer: 044492<br/>Tillverkare: Azanta Danmark A/S/Norgine B.V.<br/><del>Angusta® will be will be labeled as study medication and delivered to the pharmacy, by Norgine B.V.</del> Angusta® will be delivered to the pharmacy by Norgine and labeled as study medication by the pharmacy.</p> | <p>7.1 [...]<br/>Angusta®<br/>Tablett 25 microgram misoprostol 8 tablett(er) Blister<br/>Varunummer: 044492<br/>Tillverkare: Azanta Danmark A/S/Norgine B.V.<br/>Angusta® will be delivered to the pharmacy by Norgine and labeled as study medication by the pharmacy.</p>                        | <p>Change according to request from both Norgine and Tamro, please find documentation from Tamro attached: "Certificate of GMP-compliance Gothenburg" and "Tillstånd 6.2.1-2022-051529 Tamro AB Importgatan tillverkning prövningsläkemedel människa"</p> |
| <p>9.3.1 [...]<br/><del>1. Ove Axelsson, chair of DSMB</del><br/>2. Anna Hagman, vice chair of DSMB<br/><del>3. Charlotta Grunewald</del><br/>Ellika Andolf, vice chair of DSMB<br/>4. Göran Wennergren<br/>5. Max Petzold<br/>6. Annika Strandell<br/>7. Lotta Selin</p>  | <p>9.3.1 [...]<br/>1. Anna Hagman, chair of DSMB<br/>2. Ellika Andolf, vice chair of DSMB<br/>3. Ove Axelsson<br/>4. Göran Wennergren<br/>5. Max Petzold<br/>6. Annika Strandell<br/>7. Lotta Selin</p>  | <p>Personal reasons.</p>  |
| <p>10.4.1 [...]The primary, non-inferiority hypothesis will be tested by constructing a two-sided 95.7% confidence interval (CI) for the difference in percentage of primary outcome between outpatient and inpatient induction.</p>   | <p>10.4.1 [...]The primary, non-inferiority hypothesis will be tested by constructing a two-sided 95.7% confidence interval (CI) for the difference in percentage of primary outcome between outpatient and inpatient induction.</p>   | <p>Writing mistake noticed when preparing the protocol for this submission, calculations have always been performed for two-sided 95.7% CI.</p>   |
| <p>10.4.2. [...]Assuming a vaginal delivery rate of 90% in the outpatient arm, calculating with 80% power, a two sided 99.3% CI, a non-inferiority margin of 0.015 and a 5% drop-out rate, 2119 women need to be randomized to each arm induced with either balloon catheter or prostaglandin.</p>   | <p>10.4.2. [...]Assuming a vaginal delivery rate of 90% in the outpatient arm, calculating with 80% power, a two sided 99.3% CI, a non-inferiority margin of 0.015 and a 5% drop-out rate, 2119 women need to be randomized to each arm induced with either balloon catheter or prostaglandin.</p> | <p>Writing mistake noticed when preparing the protocol for this submission, calculations have always been performed for a two sided CI.</p>   |
| <p>In "FPI Kvinnan RCT OPTION v4 221222" and "FPI Partner RCT OPTION v2 221222"</p>  |  |   |
| <p>Men om det är lika säkert och effektivt att vara hemma som på sjukhus under denna fas har hittills inte studerats på ett tillräckligt på vetenskapligt sätt.</p>  | <p>Men om det är lika säkert och effektivt att vara hemma som på sjukhus under denna fas har hittills inte studerats tillräckligt på vetenskapligt sätt.</p>   | <p>Better text/wording.</p>   |
| <p>In "FPI Kvinnan RCT OPTION v4 221222"</p>   |  |   |
| <p>Vi kontrollerar ditt blodtryck, urinprov och hälsotillstånd, evtl ett urinprov</p>  | <p>Vi kontrollerar ditt blodtryck, och hälsotillstånd, evtl ett urinprov</p>   | <p>More correct as urin test will only be performed if indicated – not on all women as is suggested by the former wording.</p>  |

|  |  |   |
|--|--|---|
| Kvinnorna som blir lottade till igångsättning hemma har då större möjlighet att röra sig fritt och leva som vanligt med dusch, <del>bad</del> , mat, vila och sömn - på samma sätt som kvinnor vars förlossning startar av sig själv.                        | Kvinnorna som blir lottade till igångsättning hemma har då större möjlighet att röra sig fritt och leva som vanligt med dusch, mat, vila och sömn - på samma sätt som kvinnor vars förlossning startar av sig själv. | As bath is not allowed for women who are induced due to prelabour rupture of the membranes which are eligible for OPTION, we chose to remove the word "bath". |
| In "6.1 DSMB-Charter-OPTION v3 221222":  |  |   |
| 1. Anna Hagman, <del>Ove Axelsson</del> , chair of DSMB<br>2. <del>Anna Hagman</del> , Ellika Andolf, vice chair of DSMB<br>3. <del>Charlotta Grundwald</del> Ove Axelsson<br>4. Göran Wennergren<br>5. Max Petzold<br>6. Annika Strandell<br>7. Lotta Selin | 1. Anna Hagman, chair of DSMB<br>2. Ellika Andolf, vice chair of DSMB<br>3. Ove Axelsson<br>4. Göran Wennergren<br>5. Max Petzold<br>6. Annika Strandell<br>7. Lotta Selin   |   |

## G CHANGE OF CLINICAL TRIAL SITE(S)/INVESTIGATOR(S) IN THE MEMBER STATE CONCERNED BY THIS AMENDMENT

|   |
|---|
| <b>G.1 Type of change</b>   |
| <p><b>G.1.1 Addition of a new site: Skellefteå</b></p> <p><b>G.1.1.1 Principal investigator</b> (provide details below)</p> <p>G.1.1.1.1 Given name Linda</p> <p>G.1.1.1.2 Middle name (if applicable)/</p> <p>G.1.1.1.3 Family name Mikaelsson</p> <p>G.1.1.1.4 Qualifications (MD.....) MD</p> <p>G.1.1.1.5 Professional address Lsarettsvägen 29D, 93186 Skellefteå</p> <p><b>G.1.2 Removal of an existing site /</b></p> <p><b>G.1.2.1 Principal investigator</b> (provide details below)</p> <p>G.1.2.1.1 Given name</p> <p>G.1.2.1.2 Middle name (if applicable)</p> <p>G.1.2.1.3 Family name</p> <p>G.1.2.1.4 Qualifications (MD.....)</p> <p>G.1.2.1.5 Professional address</p> <p><b>G.1.3 Change of co-ordinating investigator</b> (provide details below of the new coordinating investigator) /</p> <p>G.1.3.1 Given name</p> <p>G.1.3.2 Middle name</p> <p>G.1.3.3 Family name</p> <p>G.1.3.4 Qualification (MD.....)</p> <p>G.1.3.5 Professional address</p> <p>G.1.3.6 Indicate the name of the previous co-ordinating investigator:</p> <p><b>G.1.4 Change of principal investigator at an existing site</b> (provide details below of the new principal investigator) Jönköping</p> <p>G.1.4.1 Given name Anna</p> <p>G.1.4.2 Middle name /</p> <p>G.1.4.3 Family name Cala</p> <p>G.1.4.4 Qualifications (MD.....) MD</p> <p>G.1.4.5 Professional address Sjukhusgatan, Jönköping</p> <p>G.1.4.6 Indicate the name of the previous principal investigator: Malin Dögl</p> <p><b>G.1.5 Change of principal investigator at an existing site</b> (provide details below of the new principal investigator) Norrköping</p> <p>G.1.5.1 Given name Ushani</p> <p>G.1.5.2 Middle name /</p> <p>G.1.5.3 Family name Mohapatra</p> <p>G.1.5.4 Qualifications (MD.....) MD</p> <p>G.1.5.5 Professional address Gamla Övägen 25, 60379 Norrköping</p> <p>G.1.5.6 Indicate the name of the previous principal investigator: Linda Hjertberg</p> <p><b>G.1.6 Change of principal investigator at an existing site</b> (provide details below of the new principal investigator) Trollhättan</p> |

G.1.6.1 Given name Martin  
G.1.6.2 Middle name /  
G.1.6.3 Family name Berndtsson  
G.1.6.4 Qualifications (MD.....) MD  
G.1.6.5 Professional address Kvinnokliniken NÄL, 46173 Trollhättan  
G.1.6.6 Indicate the name of the previous principal investigator: Dag Prebensen

## H CHANGE OF INSTRUCTIONS TO CA FOR FEEDBACK TO SPONSOR

### H.1 Change of e-mail contact for feedback on application\*

H.2 Change to request to receive an .xml copy of CTA data  yes x no  
H.2.1 Do you want a .xml file copy of the CTA form data saved on EudraCT?  yes  no  
H.2.1.1 If yes provide the e-mail address(es) to which it should be sent (up to 5 addresses):  
H.2.2 Do you want to receive this via password protected link(s)<sup>10</sup>?  yes x no  
If you answer no to question H.2.2 the .xml file will be transmitted by less secure e-mail link(s)  
H.2.3 Do you want to stop messages to an email for which they were previously requested?  yes x no  
H.2.3.1 If yes provide the e-mail address(es) to which feedback should no longer be sent:

(\*This will only come into effect from the time at which the request is processed in EudraCT).

## I LIST OF THE DOCUMENTS APPENDED TO THE NOTIFICATION FORM (cf. Section 3.7 of detailed guidance CT-1)

*Please submit only relevant documents and/or when applicable make clear references to the ones already submitted. Make clear references to any changes of separate pages and submit old and new texts. Tick the appropriate box(es).*

|   |                          |
|---|--------------------------|
| I.1 Cover letter  | x                        |
| I.2 Extract from the amended document in accordance with Section 3.7.c. of detailed guidance CT-1 (if not contained in Part F of this form) | <input type="checkbox"/> |
| I.3 Entire new version of the document <sup>11</sup>  | <input type="checkbox"/> |
| I.4 Supporting information  | X                        |
| I.5 Revised .xml file and copy of initial application form with amended data highlighted  | <input type="checkbox"/> |
| I.6 Comments on any novel aspect of the amendment if any :  |                          |

## J SIGNATURE OF THE APPLICANT IN THE MEMBER STATE

J.1 I hereby confirm that/ confirm on behalf of the sponsor that (delete which is not applicable)

- The above information given on this request is correct;
- The trial will be conducted according to the protocol, national regulation and the principles of good clinical practice; and
- It is reasonable for the proposed amendment to be undertaken.

J.2 APPLICANT OF THE REQUEST FOR THE COMPETENT AUTHORITY(as stated in section D.1):

<sup>10</sup> This requires a EudraLink account. (See <https://eudract.ema.europa.eu/> for details)

<sup>11</sup> Cf. Section 3.7.c. of the detailed guidance CT-1.

|       |  |
|-------|--|
| J.2.1 | Signature <sup>12</sup> : <i>V. Sengpiel</i> |
| J.2.2 | Print name : Verena Sengpiel                 |
| J.2.3 | Date : 22 <sup>nd</sup> December 2022        |

|            |  |                          |
|------------|--|--------------------------|
| <b>J.3</b> | <b>APPLICANT OF THE REQUEST FOR THE ETHICS COMMITTEE (as stated in section D.2):</b> | <input type="checkbox"/> |
| J.3.1      | Signature <sup>13</sup> :  |                          |
| J.3.2      | Print name:  |                          |
| J.3.3      | Date :   |                          |

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<sup>12</sup> On an application to the Competent Authority only, the applicant to the Competent Authority needs to sign.

<sup>13</sup> On an application to the Ethics Committee only, the applicant to the Ethics Committee needs to sign.

