

Webinars and In-Person Courses 2025 and 2026



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General Audit Topics

Course title	Description	Duration
Root Cause Analysis in Internal Audit <i>(NEW)</i>	<p>Root Cause Analysis (RCA) is a vital problem-solving approach aimed at uncovering the underlying reasons behind issues or incidents, essential for preventing problems from recurring and improving organizational processes.</p> <p>Root Cause Analysis (RCA) is an essential problem-solving approach designed to uncover the underlying reasons behind issues or incidents. This course aims to equip participants with the knowledge and tools necessary to prevent recurring problems and improve organizational processes. Through a combination of theoretical concepts, practical tools, and real-world case studies, this course offers a comprehensive understanding of RCA and its application in various contexts.</p>	1 - 3 days
Agile Auditing in Practice – A Step-by-Step Implementation Workshop <i>(NEW)</i>	<p>This course equips internal auditors with the knowledge and tools to apply Agile principles across the audit lifecycle—from planning to execution and reporting. Participants will learn how Agile auditing differs from traditional approaches and how to build flexible, value-driven audit plans that adapt to changing risks and priorities.</p> <p>Through practical exercises and real-world case studies, attendees will simulate audit sprints, draft Agile audit backlogs, and develop concise, iterative reports. By the end of the course, participants will be ready to implement Agile practices that improve audit efficiency, stakeholder collaboration, and overall audit impact.</p>	1 - 3 days
Crafting an Internal Audit Strategy: Navigating the Next 3-5 Years <i>(NEW)</i>	<p>To successfully navigate the future, internal auditors must craft long-term strategies that anticipate emerging risks, align with organizational goals, and drive sustainable audit success. Using the latest trends in risk management, technology, and governance, this course will guide you through the steps of developing a 3-5 year audit strategy. You will learn how to forecast future risks, align audit activities with strategic business priorities, and ensure continuous improvement in audit performance.</p>	1 - 3 days
Auditing Culture <i>(NEW)</i>	<p>Auditing culture provides a structured approach to assessing whether an organization’s stated values align with actual behaviors. Internal auditors play a crucial role in identifying cultural strengths and weaknesses, offering insights into leadership tone, employee engagement, and ethical decision-making. This course will equip participants with the tools and techniques needed to evaluate organizational culture, address cultural misalignments, and provide actionable recommendations that strengthen governance and risk management.</p>	1 - 3 days

Course title	Description	Duration
<i>Critical Thinking: Strategies to Overcome Audit Bias (NEW)</i>	Auditors are expected to exercise professional skepticism and objectivity , yet unconscious bias and flawed assumptions can subtly influence audit judgments. This course explores how critical thinking skills can be applied to identify, challenge, and minimize bias in the audit process . Participants will learn how different types of bias manifest in auditing, from confirmation bias to anchoring and overconfidence, and how these can undermine audit quality and independence. Through practical examples, interactive discussions, and structured techniques , this program provides auditors with tools to strengthen their decision-making and ensure balanced, evidence-based conclusions.	1 - 3 days
<i>Auditing Tariffs: Managing Compliance Amid Rapid Trade Changes (NEW)</i>	This course provides a comprehensive framework for auditing export and import tariffs, focusing on regulatory compliance, HS code classification, duty calculation, and internal control mechanisms . Participants will explore the end-to-end audit process, from planning and fieldwork to reporting and follow-up, with emphasis on identifying risks and recommending control enhancements. Through practical methodologies and real-world examples , learners will gain insights into customs valuation, documentation verification, FTA compliance, and technology systems used in trade management.	1 - 3 days
<i>Effective Leadership: Empowering Audit Teams for Excellence (NEW)</i>	Effective audit leadership is about creating an environment where team members feel empowered, challenged, and supported to perform at their best . Leaders who focus on amplifying the strengths of their teams—rather than simply directing or controlling—can unlock greater innovation, accountability, and engagement . By fostering open dialogue, encouraging ownership, and investing in team development, audit leaders build resilient teams capable of navigating complex regulatory landscapes and delivering high-impact results.	1 - 3 days
<i>The New Global Internal Audit Standards – Implementation Challenges</i>	Join this course to unlock practical strategies for overcoming the challenges of implementing the New Global Internal Audit Standards. Whether you're an internal auditor, audit manager, or senior professional, this course offers valuable insights into transforming implementation obstacles into opportunities for growth. You'll explore how to enhance governance, ethics, leadership, and quality assessments within your organization, all while learning how to streamline processes and drive improvements. By the end, you'll be equipped with the tools to refine your internal audit practices and elevate the overall effectiveness of your audit function.	1 - 3 days

Course title	Description	Duration
<i>Risk-Based Audit Planning</i>	In this in-depth course, participants will develop a strong understanding of risk-based audit planning—a critical element of organizational governance and risk management. The process includes how to establish a sound audit universe, how to perform an effective risk and control assessment to how to schedule resources and draft and communicate the audit plan to the board. By combining practical exercises, real-world case studies, and theoretical insights, participants will learn how to establish an effective audit universe, assess risks, and develop a comprehensive audit plan that aligns with organizational goals and enhances overall resilience.	1 - 3 days
<i>Quality Management in Internal Audit: Tools and Measures for Building Excellence</i>	The “ Quality Management in Internal Audit ” workshop provides internal auditors with the tools and methodologies necessary to meet the new Global Internal Audit Standards effective in 2025 . This course focuses on implementing robust quality assessment practices, developing effective performance measures, and fostering excellence within internal audit functions. Through practical exercises and discussions , participants will gain insights into aligning internal audit activities with organizational objectives and global compliance requirements.	1 - 3 days
<i>Audit Roles in ESG</i>	This course provides an overview of ESG elements and equips auditors with the knowledge and tools to assess ESG risks, verify disclosures, and align audit practices with key frameworks such as GRI, SASB, TCFD and the EU CSRD (Corporate Sustainability Reporting Directive). Through case studies and practical exercises, participants will learn how to integrate ESG into governance and risk processes, conduct ESG audits effectively, and enhance corporate sustainability reporting.	1 - 3 days

Course title	Description	Duration
<i>Auditing Procurement & Sourcing Processes</i>	This Procurement Audit Training provides participants with a deep understanding of procurement processes, procurement risks, and audit methodologies . The course covers key procurement functions, including the Procurement-to-Payment (P2P) cycle, tendering, and contract management, with a special focus on large-scale construction and capital procurement. It also explores procurement fraud detection, forensic tools, and common measures to ensure compliance and risk mitigation. Participants will gain hands-on experience through real-world case studies, practical exercises, and discussions on procurement audit best practices. The training will enhance their ability to conduct risk-based procurement audits, analyze vendor due diligence , and communicate audit findings effectively. By the end of the course, attendees will be equipped with the skills to improve procurement oversight, strengthen internal controls, and ensure transparency and efficiency in procurement operations.	1 - 3 days
<i>Fraud Detection in Accounting, Procurement, Treasury and HR</i>	The Fraud Detection in Accounting, Procurement, Treasury and HR course offers a deep dive into identifying and mitigating fraud risks within these critical business functions. Participants will explore common fraud schemes such as financial statement manipulation, procurement fraud, payroll fraud, and expense reimbursement schemes. The course focuses on red flags, internal controls, and investigative techniques to detect suspicious activity early and prevent financial losses. Through real-world case studies and practical tools, attendees will gain the skills necessary to strengthen their organization's defenses against fraud in accounting, procurement, and human resources.	1 - 3 days
<i>A Complete Guide to Internal Controls</i>	The Complete Guide to Internal Controls course offers a comprehensive exploration of essential internal control components using real-life examples. Participants will begin by defining internal controls and their significance in governance and risk management, before delving into the COSO Cube framework. The course will clarify the distinctions between governance, enterprise risk management (ERM), and internal controls, while examining various types of controls, their limitations, and strategies for mitigation. Additionally, participants will explore the internal control lifecycle, including design, implementation, and ongoing evaluation, with a focus on Sarbanes-Oxley Section 404.	1 - 3 days

Course title	Description	Duration
<i>The Art of Auditing: Mediation and Diplomacy</i>	This course explores the essential role of mediation in the auditing process, equipping auditors with the skills to navigate resistance and foster collaboration . The course begins with an introduction to mediation principles, emphasizing their importance in building trust and rapport while maintaining neutrality. Participants will learn to identify sources of resistance, implement techniques to overcome it, and engage in practical exercises, including role-playing scenarios. The curriculum covers the definition and role of a mediator, highlighting the impact of neutrality and the mediator’s code of conduct. Key skills such as active listening, effective communication, and conflict resolution techniques are developed, alongside strategies for using neutral language. Additionally, the course delves into empathy in auditing, collaborative problem-solving, and the power of diplomacy.	1 - 3 days
<i>Audit Report Writing (includes Use of Artificial Intelligence)</i>	This course equips participants with essential skills to craft impactful internal audit reports , emphasizing the importance of effective communication throughout the audit process. Participants will learn strategies for structuring reports to ensure clarity and relevance for diverse audiences, tailoring their content to meet the needs of various stakeholders, from executives to operational staff. Through practical exercises and case studies, attendees will explore techniques for adapting their writing style and content accordingly. Additionally, the course highlights the use of AI tools like ChatGPT to enhance report writing efficiency and effectiveness, enabling participants to generate insightful observations and actionable recommendations while maintaining quality and accuracy in the audit process.	1 - 3 days
<i>Effective Follow-up of Audit Recommendations</i>	The course, “ Effective Follow-up of Audit Recommendations, ” offers a comprehensive overview of the follow-up process, guiding participants from fundamental concepts to establishing structured procedures and effectively communicating results. Attendees will learn to design and implement effective follow-up strategies, leverage modern IT tools, and cultivate a culture of continuous improvement, ensuring that audit recommendations translate into tangible and sustainable enhancements within their organizations.	1 - 3 days

Course title	Description	Duration
<i>New in Internal Audit</i>	Are you new to internal audit and looking to build a strong foundation in this vital profession? This course is designed specifically for those stepping into the world of internal audit, offering you the essential knowledge and skills to make an immediate impact. Gain a clear understanding of the internal audit process, learn to assess risks, and uncover key insights that drive organizational success. Whether you're just starting your career or transitioning into a new role, this 3 1/2-hour session will equip you with practical tools, industry best practices, and expert guidance to confidently navigate your new responsibilities and become a trusted advisor within your organization. Don't just audit—add value!	3 days
<i>Internal Audit and Compliance – separate or together?</i>	This one-day course provides a comprehensive understanding of the distinct yet interrelated roles of internal audit and compliance within an organization. Participants will explore the similarities and differences between these two critical functions, equipping them with the knowledge to effectively integrate both into their organizational frameworks. The course includes interactive discussions, case studies, and practical exercises to reinforce learning and application in real-world scenarios.	1 - 3 days

Financial Institutions

Course title	Description	Duration
<i>Effective Controls in Treasury Operations</i>	This course offers a comprehensive overview of treasury operations, focusing on key aspects such as segregation of duties between front, middle and back office in treasury operations , and the implementation of controls across different functional areas . Participants will gain insights into the critical role of the front, middle and back office, as well as the importance of effective control and compliance measures in ensuring operational integrity and financial stability.	1 - 3 days
<i>Governance Principles for Banks</i>	This course on Governance Principles for Banks delves into the essential frameworks outlined by the Basel Committee on Banking Supervision (BCBS) , focusing on the governance structures and practices critical for effective risk management and operational oversight in banks including the role of Compliance, Risk Management and Internal Audit. Participants will explore key principles such as board responsibilities, risk governance, control mechanisms, and transparency, all designed to enhance financial stability and resilience. The review of the Four Lines of Defence Model will shed light on the interaction between external, internal audit and financial regulators.	1 - 3 days
<i>Introduction to Basel III</i>	This course on Introduction to Basel III provides a foundational understanding of the Basel III framework and its significance in strengthening global bank capital standards and risk management practices. Participants will explore the key components of Basel III, including capital adequacy, liquidity requirements, and leverage ratios, along with the framework's objectives to enhance the banking sector's resilience against financial crises. Through engaging discussions and practical examples, attendees will gain insights into the implications of Basel III for banks and financial institutions, equipping them with the knowledge to navigate the evolving regulatory landscape and contribute to their organization's compliance efforts.	1 - 3 days

Course title	Description	Duration
<i>Risk Management Failures in Banks</i>	This 2-day course explores the pinnacles of a sound risk management framework in banking, examining past incidents where such frameworks failed. Participants will learn from real-world cases, including rogue trading scandals and the 2008 financial crisis, as well as near-banking defaults. The course highlights key lessons learned and offers strategies to help banks avoid similar pitfalls in the future.	1 - 3 days
<i>Auditing Financial Products: Risks and Controls</i>	This course provides auditors with the tools and techniques to evaluate the risks and controls associated with a variety of financial products. Participants will examine products such as derivatives, bonds, equities, FX products , and structured products. The course covers how to identify key risks like market volatility, credit risk, and liquidity risk, and the effective controls needed to mitigate them. Through practical examples, participants will enhance their ability to audit complex financial instruments and ensure compliance with regulatory standards.	1 - 3 days
<i>Anti-Money Laundering (AML) and Combating Financing of Terrorism (CFT)</i>	<p>This AML and CFT Compliance Training provides participants with a comprehensive understanding of Anti-Money Laundering (AML) and Countering the Financing of Terrorism (CFT) regulations, focusing on the Financial Action Task Force (FATF) guidelines and global frameworks. The course covers essential compliance requirements, risk-based approaches, and practical methodologies for detecting and preventing financial crimes. Participants will explore key regulations, including the FATF's 40 Recommendations, EU AML Directives, Basel Committee guidance. Real-world case studies on major AML failures (e.g., HSBC, Danske Bank, 1MDB) will be examined to highlight compliance gaps and lessons learned.</p> <p>Through interactive discussions and case-based learning, attendees will develop expertise in risk-based customer due diligence (CDD), identifying politically exposed persons (PEPs), transaction monitoring, suspicious activity reporting (SARs), and forensic investigation techniques. The training also explores emerging risks, including cryptocurrency regulations, artificial intelligence in AML. By the end of the course, participants will be equipped with the knowledge and tools to implement effective AML/CFT frameworks, enhance regulatory compliance, and mitigate financial crime risks in their organizations.</p>	1 - 3 days

About the Instructor *Isabella Arndorfer*



***Isabella Arndorfer** (CIA, CCSA, CFSA, mediator) is an experienced Internal Audit and Governance specialist with more than 20 years of experience in the financial sector. She was working as Internal Audit Manager in the Internal Audit Department of the Bank for International Settlements (CH) and at the International Monetary Fund (US) from 2003 to 2023. She has led and conducted audits in the Banking Department, General Secretariat and Monetary and Economic Department, specialising in internal controls and corporate governance issues of financial institutions.*

She has been a lecturer on internal audit and governance issues at central bank seminars in Asia and Europe as well as at the University of St Gallen (Switzerland). She holds a degree in Business Administration of the University of Economics in Vienna (Austria) and a Masters Degree of International Management from ESADE, Barcelona, Spain. She is a Certified Internal Auditor (CIA), a Certified Financial Services Auditor (CFSA) and holds a CCSA (Certificate of Control Self Assessment) from the Institute of Internal Audit (IIA), Florida, USA. In 2023, she founded the training platform www.cpetrainer.com and has been providing freelance consultancy services in audit, risk management, compliance, and governance through her company, www.ia-advisory.com.