REQUEST FOR AUTHORISATION OF A CLINICAL TRIAL ON A MEDICINAL PRODUCT FOR HUMAN USE TO THE COMPETENT AUTHORITIES AND FOR OPINION OF THE ETHICS COMMITTEES IN THE COMMUNITY

To be filled in by the applicant

The questions in this form for the request for authorisation from the Competent Authority are also relevant for the opinion from an Ethics Committee (it represents module 1 of the form for applying to an ethics committee) and can be used as part of that application. Please indicate the relevant purpose in a box below.

REQUEST FOR AUTHORISATION TO THE COMPETENT AUTHORITY: Yes ● REQUEST FOR OPINION OF THE ETHICS COMMITTEE: No ●

A. TRIAL IDENTIFICATION

A.1 A.2	Member State in which the submission is being made: EudraCT number:	Denmark - DHMA 2017-003829-15
A.3	Full title of the trial: English Agents Intervening against Deli	rium in Intensive Care Unit (AID-ICU)
A.3.1	Title of the trial for lay people, in easily understood, i.e. r English Pharmacological treatment of or	non-technical, language: ganic psychosis in critically ill adults
A.3.2	Name or abbreviated title of the trial where available: English AID-ICU	
A.4 A.4.1 A.4.2 A.4.3 A.5 A.5.1 A.5.2 A.5.3 A.5.4	Sponsor's protocol code number, version and date¹: Sponsor's protocol code number: Sponsor's protocol version: Sponsor's protocol date: Additional international study identifiers (e.g. WHO, ISRC ISRCTN number: US NCT number: WHO Universal Trial Number (UTN): Other Identifier: ClinicalTrials.gov pending	AID-ICU 3.4 2017-11-10 TN ² , US NCT Number ³) if available
A.6	Is this a resubmission? If 'Yes', indicate the resubmission letter ⁴ : First Subm	
A.7 A.8	Is the trial part of an agreed Paediatric Investigation Plan EMA Decision number of Paediatric Investigation Plan:	? No •

B. IDENTIFICATION OF THE SPONSOR RESPONSIBLE FOR THE REQUEST

B.1	SPONSOR	
B.1.1	Name of organisation:	Zealand University Hospital
B.1.2	Name of the person to contact:	
B.1.2.1	Given name	Lone
B.1.2.2	Middle name	
B.1.2.3	Family name	Musaeus Poulsen
B.1.3	Address:	
B.1.3.1	Street address	Lykkebaekvej 1
B.1.3.2	Town/city	Koege
B.1.3.3	Post code	4600
B.1.3.4	Country	Denmark
B.1.4	Telephone number:	+45 47326451
B.1.5	Fax number:	
B.1.6	E-mail:	lmp@regionsjaelland.dk

B.2	LEGAL REPRESENTATIVE ⁵ OF THE SPONSOR IN THE COMMUNITY FOR THE PURPOSE OF THIS TRIAL (if different from the sponsor)
B.2.1	Name of organisation:
B.2.2	Name of person to contact:
B.2.2.1	Given name
B.2.2.2	Middle name
B.2.2.3	Family name
B.2.3	Address:
B.2.3.1	Street address
B.2.3.2	Town/city
B.2.3.3	Post code
B.2.3.4	Country
B.2.4	Telephone number:
B.2.5	Fax number:
B.2.6	E-mail:

В.3	STATUS OF THE SPONS	OR:
B.3.1	Commercial:	No ∙
B.3.2	Non commercial:	Yes •

B.4	Source(s) of Monetary or Material Support for the clinical trial (repeat as necessary):	
B.4.1	Name of organisation:	Innovations Fund Denmark
B.4.2	Country:	Denmark

B.4	Source(s) of Monetary or Material Support for the clinical trial (repeat as necessary):	
B.4.1	Name of organisation:	Zealand University Hospital
B.4.2	Country:	Denmark

B.4	Source(s) of Monetary or Material Support for the clinical trial (repeat as necessary):		
B.4.1	Name of organisation:	The Regions medicine foundation	
B.4.2	Country:	Denmark	

B.5	Contact point ⁶ designated by the sponsor for further information on the trial		
B.5.1	Name of organisation: Department of Anaesthesia and intensive Care Medicine, Zealand University Hospital, Koege		
B.5.2	Functional name of contact point (e.g. "Clinical Trial Information Desk"):	Lone Musaeus Poulsen	

B.5.3	Address:	
B.5.3.1	Street address	Lykkebaekvej 1
B.5.3.2	Town/city	Koege
B.5.3.3	Post code	4600
B.5.3.4	Country	Denmark
B.5.4	Telephone number:	+45 47326451
B.5.5	Fax number:	
B.5.6	E-mail: (use a functional e-mail address	lmp@regionsjaelland.dk
	rather than a personal one)	

C. APPLICANT IDENTIFICATION, (please tick the appropriate box)

C.1	REQUEST FOR THE COMPE	TENT AUTHORITY	
C.1.1	Sponsor		
C.1.2	Legal representative of the sp		
C.1.3	Person or organisation author	ised by the sponsor to make the application	Yes •
C.1.4	Complete the details of the ap	oplicant below even if they are provided else	where on the form:
C.1.4.1	Name of Organisation:	Zealand University Hospital	
C.1.4.2	Name of contact person:		
C.1.4.2.1	Given name	Nina Christine	
C.1.4.2.2	Middle name		
C.1.4.2.3	Family name	Andersen-Ranberg	
C.1.4.3	Address:		
C.1.4.3.1	Street address	Lykkebaekvej 1	
C.1.4.3.2	Town/city	Koege	
C.1.4.3.3	Post code	4600	
C.1.4.3.4	Country	Denmark	
C.1.4.4	Telephone number:	+45 47326493	
C.1.4.5	Fax number:		
C.1.4.6	E-mail:	ncan@regionsjaelland.dk	
C.1.5	Request to receive a copy of	CTA data as XML:	
C.1.5.1	Do you want a copy of the CT file?	A form data saved on EudraCT as an XML	Yes •
C.1.5.1.1	If Yes provide the e-mail address(es) to which it should be sent (up to 5 addresses):		
	ncan@regionsjaelland.dk		
C.1.5.1.2			
If you answer No to question C.1.5.1.2 the .xml file will be transmitted by less secure e-mail link(s)			

D. INFORMATION ON EACH IMP

IMP IDENTIFICATION

D.1

Information on each 'bulk product' before trial-specific operations (blinding, trial specific packaging and labelling) should be provided in this section for each investigational medicinal product (IMP) being tested including each comparator and each placebo, if applicable. **For placebo go directly to D.8**. If the trial is performed with several products use extra pages and give each product a sequential number in D.1.1. If the product is a combination product, information should be given for each active substance.

D.2 STATUS OF THE IMP D.2.1 Has the IMP to be used in the trial a marketing authorisation? Yes ◆ If the IMP has a marketing authorisation in the Member State concerned by this applicate the trade name and marketing authorisation holder are not fixed in the protocol, go to so D.2.2. D.2.1.1 If 'Yes', specify the product to be used in the clinical trial: D.2.1.1.1.1 Trade name Serenase/haldol D.2.1.1.1.2 IF 'Yes', used there applicable) D.2.1.1.3 Mame of the Marketing Authorisation Holder: D.2.1.1.4 Name of the Marketing Authorisation Holder: D.2.1.1.4 Is the IMP modified in relation to its Marketing Authorisation? Yes ◆ D.2.1.1.4.1 If 'Yes', please specify: The product will be blinded and relabeling of primary and secondary labels a necessary. Secondary packaging will also be changed. There will be no chan IMP or primary packaging (ampule) D.2.1.2 The country that granted the Marketing Authorisation Penmark Yes ◆ D.2.1.2.1 Is this the Member State concerned with this application? D.2.1.2 Situations where an IMP to be used in the CT has a Marketing Authorisation in the Maconcerned, but the protocol allows that any brand of the IMP with a Marketing Authorisation that Member State be administered to the trial subjects and it is not possible to clean the IMP(s) in advance of the trial start D.2.2.1 In the protocol, do treatment defined only by active substance? D.2.2.1 If 'Yes', give active substance in D.3.8 or D.3.9 D.2.2.2 In the protocol, do treatment regimens allow different combinations of marketed products used according to local clinical practice at some or all investigator sites in the MS? D.2.2.1 If 'Yes', give active substance in D.3.8 or D.3.9 D.2.2.2.1 If 'Yes', give active substance in D.3.8 or D.3.9 D.2.2.2.2 The products to be administered as IMPs are defined as Yes ◆ belonging to an ATC group of the applicable authorised codes in the ATC code field (the level that can be defined) in D.3.3 No ◆		his refers to the IMP number:	PR1
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 D.2.1.1.1 Trade name Serenase/haldol D.2.1.1.1.1 EV Product Code (where applicable) D.2.1.1.2 Name of the Marketing Authorisation Holder: Janssen-Cilag A/S D.2.1.1.3 Marketing Authorisation number (if Marketing Authorisation granted by a Member State): Is the IMP modified in relation to its Marketing Authorisation? Yes ● D.2.1.1.4.1 If 'Yes', please specify: The product will be blinded and relabeling of primary and secondary labels a necessary. Secondary packaging will also be changed. There will be no chan IMP or primary packaging (ampule) D.2.1.2 The country that granted the Marketing Authorisation Denmark Yes ● D.2.1.2.1 Is this the Member State concerned with this application? Yes ● D.2.2 Situations where an IMP to be used in the CT has a Marketing Authorisation in the Microncerned, but the protocol allows that any brand of the IMP with a Marketing Author that Member State be administered to the trial subjects and it is not possible to clear the IMP(s) in advance of the trial start D.2.2.1 In the protocol, is treatment defined only by active substance? D.2.2.1.1 If 'Yes', give active substance in D.3.8 or D.3.9 D.2.2.2.1 If the protocol, do treatment regimens allow different combinations of marketed products used according to local clinical practice at some or all investigator sites in the MS? D.2.2.2.1 If 'Yes', give active substance in D.3.8 or D.3.9 D.2.2.2.3 The products to be administered as IMPs are defined as belonging to an ATC group of the applicable authorised codes in the ATC code field (the level that can be defined) in D.3.3 No • D.2.2.4.1 If 'Yes', please specify: 	the IMP has e trade nam	s a marketing authorisation in the Member State con	cerned by this application, but
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D.2.2.3 The products to be administered as IMPs are defined as belonging to an ATC group ⁹ D.2.2.3.1 If 'Yes', give the ATC group of the applicable authorised codes in the ATC code field (the level that can be defined) in D.3.3 D.2.2.4 Other: D.2.2.4.1 If 'Yes', please specify: D.2.3 IMPD submitted:	2.2.2 Ir	n the protocol, do treatment regimens allow different ombinations of marketed products used according to	No ◆
D.2.2.3.1 If 'Yes', give the ATC group of the applicable authorised codes in the ATC code field (the level that can be defined) in D.3.3 D.2.2.4 Other: D.2.2.4.1 If 'Yes', please specify: D.2.3 IMPD submitted:	lo th	he MS?	
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D.2.3.1 Full IMPD: No • D.2.3.2 Simplified IMPD: No •	lo th 2.2.2.1 If 2.2.3 Tl be 2.2.3.1 If th 2.2.4 O 2.2.4.1 If 2.3.3 IN	he MS? f 'Yes', give active substance in D.3.8 or D.3.9 he products to be administered as IMPs are defined as relonging to an ATC group ⁹ f 'Yes', give the ATC group of the applicable authorised code level that can be defined) in D.3.3 other: f 'Yes', please specify:	les in the ATC code field (level 3 or

D.2.3.3	Summary of product characteristics (SmPC) only:	Yes •
D.2.4	Has the use of the IMP been previously authorised in a clinical trial conducted by the sponsor in the Community?	No ◆
D.2.4.1	If 'Yes' specify which Member States:	
D.2.5	Has the IMP been designated in this indication as an orphan drug in the Community?	No •
D.2.5.1	If 'Yes', give the orphan drug designation number ¹⁰ :	

D.2.6	Has the IMP been the subject of scientific advice related	No ∙
	to this clinical trial?	
D.2.6.1	If 'Yes' to D.2.6, please indicate source of advice and pro	ovide a copy in the CTA request:
D.2.6.1.1	CHMP ¹¹ ?	No •
D.2.6.1.2	National Competent Authority?	No ∙

D.3	DESCRIPTION OF THE IMP	
D.3.1	Product name where applicable ¹² :	
D.3.2	Product code where applicable 13:	
D.3.3	ATC codes, if officially registered ¹⁴ :	N05AD01
D.3.4	Pharmaceutical form (use standard terms):	Injection
D.3.4.1	Is this a specific paediatric formulation?	No ∙
D.3.5	Maximum duration of treatment of a subject according	g to the protocol:
	Maximum 90 days	
D.3.6	Dose allowed:	
D.3.6.1	For first trial only:	
	Specify per day or total	Not Answered ●
	Specify total dose (number and unit):	
	Route of administration (relevant to the first dose):	
D.3.6.2	For all trials	
	Specify per day or total	Per day •
	Specify total dose (number and unit):	20 mg milligram(s)
	Route of administration (relevant to the maximum	Intravenous use
	dose):	
D.3.7	Routes of administration (use standard terms):	Intravenous use

D.3.8	Name of each active substance (INN or proposed INN if available):
D.3.9	Other available name for each active substance (provide all available):
D.3.9.1	CAS ¹⁵ number
D.3.9.2	Current sponsor code
D.3.9.3	Other descriptive name
D.3.9.4	EV Substance code
D.3.9.5	Full Molecular formula
D.3.9.6	Chemical/biological description of the Active Substance
D.3.10	Strength (specify all strengths to be used):
D.3.10.1	Concentration unit:
D.3.10.2	Concentration type ("exact number", "range", "more than" or "up to"):
D.3.10.3	Concentration (number).

D.3.11	Type of IMP		
Does the IMP	contain an active substance:		
D.3.11.1	Of chemical origin?	Yes •	
D.3.11.2	Of biological / biotechnological origin (other than Advanced Therapy IMP (ATIMP)?	No ●	
Is this a:			
D.3.11.3	Advanced Therapy IMP (ATIMP)?	No •	

D.3.11.3.1 D.3.11.3.2	Somatic cell therapy medicinal product ¹⁶ ? Gene therapy medicinal product ¹⁷ ?	No • No •
D.3.11.3.3	Tissue Engineered Product ¹⁸ ?	No •
D.3.11.3.4	Combination ATIMP (i.e. one involving a medical device ¹⁹)?	No •
D.3.11.3.5	Has the Committee on Advanced Therapies issued a classification for this product?	No •
D.3.11.3.5.1	If 'Yes' please provide that classification and its reference	e number:
D.3.11.4	Combination product that includes a device, but does not involve an Advanced Therapy?	No •
D.3.11.5	Radiopharmaceutical medicinal product?	No ●
D.3.11.6	Immunological medicinal product (such as vaccine, allergen, immune serum)?	No •
D.3.11.7	Plasma derived medicinal product?	No ●
D.3.11.8	Extractive medicinal product?	No ●
D.3.11.9	Recombinant medicinal product?	No ●
D.3.11.10	Medicinal product containing genetically modified organisms?	No •
D.3.11.10.1	Has the authorisation for contained use or release been granted?	No •
D.3.11.10.2	Is it pending?	No ◆
D.3.11.11	Herbal medicinal product?	No ◆
D.3.11.12	Homeopathic medicinal product?	No ∙
D.3.11.13	Another type of medicinal product?	No ∙
D.3.11.13.1	If 'another type of medicinal product' specify the type of	f medicinal product:
D.3.12	Mode of action ($free\ text^{20}$)	
D.3.13 D.3.13.1	Is it an IMP to be used in a first-in-human clinical trial? If 'Yes', are there risk factors identified, according to the	No ● guidance FIH? ²¹

D.4	SOMATIC CELL THERAPY INVESTIGAT MODIFICATION)	TONAL MEDICINAL PRODUCT (NO GENETIC
D.4.1	Origin of cells	
D.4.1.1	Autologous	No ∙
D.4.1.2	Allogeneic	No ◆
D.4.1.3	Xenogeneic	No ◆
D.4.1.3.1	If 'Yes', specify the species of origin:	
D.4.2	Type of cells	
D.4.2.1	Stem cells	No ∙
D.4.2.2	Differentiated cells	No ◆
D.4.2.2.1	If 'Yes', specify the type (e.g. keratinocyte	es, fibroblasts, chondrocytes):
D.4.2.3	Others:	No ∙
D.4.2.3.1	If others, specify:	

D.5	GENE THERAPY INVESTIGATIONAL MEDICINAL PRO	DUCTS	
D.5.1	Gene(s) of interest:		
D.5.2	In vivo gene therapy:	No ●	
D.5.3	Ex vivo gene therapy:	No ◆	
D.5.4	Type of gene transfer product		
D.5.4.1	Nucleic acid (e.g. plasmid): If 'Yes', specify if:	No •	
D.5.4.1.1	Naked:	No ●	
D.5.4.1.2	Complexed	No ◆	
D.5.4.2	Viral vector:	No ◆	
D.5.4.2.1	If 'Yes', specify the type: adenovirus, retrovirus, AAV,:		

D.5.4.3 D.5.4.3.1	Others If others, specify:	No •	
D.5.5	Genetically modified somatic cells:	No •	
If 'Yes', spec	cify the origin of the cells:		
D.5.5.1	Autologous:	No ∙	
D.5.5.2	Allogeneic:	No ∙	
D.5.5.3	Xenogeneic:	No ∙	
D.5.5.3.1	If 'Yes', specify the species of origin:		
D.5.5.4	Specify type of cells (hematopoietic stem cells):		

D.6 The indication is given in se		ngineered Product as opposed to a Cell Therapy product
D.6.1	Origin of cells	
D.6.1.1	Autologous	No ∙
D.6.1.2	Allogeneic	No ●
D.6.1.3	Xenogeneic	No ∙
D.6.1.3.1	If 'Yes', specify the species of origin:	
D.6.2	Type of cells	
D.6.2.1	Stem cells	No ◆
D.6.2.2	Differentiated cells	No ◆
D.6.2.2.1	If 'Yes', specify the type of cells(e.g. ke	ratinocytes, fibroblasts, chondrocytes,):
D.6.2.3	Others:	No •
D.6.2.3.1	If others, specify:	

D.7	PRODUCTS CONTAINING DEVICES (i.e. MEDIC	CAL DEVICES, SCAFFOLDS ETC.)
D.7.1	Give a brief description of the device:	
D.7.2	What is the name of the device?	
D.7.3	Is the device implantable?	No ∙
D.7.4	Does this product contain:	
D.7.4.1	A medical device?	No •
D.7.4.1.1	Does this medical device have a CE mark?	No •
D.7.4.1.1.1	The notified body is:	
D.7.4.2	Bio-materials?	No ◆
D.7.4.3	Scaffolds?	No ∙
D.7.4.4	Matrices?	No •
D.7.4.5	Other?	No •
D.7.4.5.1	If other, specify:	

D.8 INFORMATION ON PLACEBO (if relevant; repeat as necessary)

D.8.1	Is there a placebo:	Yes •
D.8.2	This refers to placebo number:	PL1
D.8.3	Pharmaceutical form:	Injection
D.8.4	Route of administration:	Intravenous use
D.8.5	Which IMP is it a placebo for? Specify IMP N	lumber(s) from D.1.1 PR1

ve substance(s): P? Yes •

D.9 SITE(S) WHERE THE QUALIFIED PERSON CERTIFIES BATCH RELEASE²²

This section is dedicated to **finished** IMPs, i.e. medicinal products randomised, packaged, labelled and certified for use in the clinical trial. If there is more than one site or more than one IMP is certified, use extra pages and give each IMP its number from section D.1.1 or D.8.2 In the case of multiple sites indicate the product certified by each site

D.9.1	Do not fill in section D.9.2 for an IMP that:
D.9.1	
	Has a MA in the EU and
	Is sourced from the EU market _and
	Is used in the trial without modification(e.g. not overencapsulated) and
	The packaging and labelling is carried out for local use only as per article 9.2. of the Directive
	2005/28/EC (GCP Directive)
	If all these conditions are met tick • and list the number(s) of each IMP including placebo from
	sections D.1.1 and D.8.2 to which this applies
	PR1
	PL1

D.9.2	Who is responsible in the Community for th	e certification of the finished IMPs?
	This site is responsible for certification of (list the number(s) of each IMP including placebo from	e PR1
	sections D.1.1 and D.8.2):	PL1
	please tick the appropriate box:	
D.9.2.1	Manufacturer	Yes •
D.9.2.2	Importer	No •
D.9.2.3	Name of the organisation:	Hospital Pharmacy of the Capital Region og Denmark
D.9.2.4	Address:	_
D.9.2.4.1	Street Address	Marielundsvej 25
D.9.2.4.2	Town/City	Herlev
D.9.2.4.3	Post Code	2730
D.9.2.4.4	Country	Denmark
D.9.2.5	Give the manufacturing authorisation number:	
D.9.2.5.1	If No authorisation, give the reasons:	
	This is a hospital pharmacy and they have r	o authorisation number.

Where the product does not have a MA in the EU, but is supplied in bulk and final packaging and labelling for local use is carried out in accordance with article 9.2 of Directive 2005/28/EC (GCP Directive) then enter the site where the product was finally certified for release by the Qualified Person for use in the clinical trial at D.9.2 above.

E. GENERAL INFORMATION ON THE TRIAL

This section should be used to provide information about the aims, scope and design of the trial. When the protocol includes a sub-study in the MS concerned section E.2.3 should be completed providing information about the sub-study. To identify it check the sub-study box in the 'Objective of the trial' question below.

E.1	MEDICAL CONDITION OR DISEASE UNDER INVESTIGATION				
E.1.1	Specify the English	he medical condition(s) to be Treatment of de		xt): adult patients in intensive	care unit.
E.1.1.1	Medical condition in easily understood language English Treatment of psychosis related to critical illness in adult patients admitted to intensive care unit				
E.1.1.2	Therapeutic area Diseases [C] - Nervous System Diseases [C10]				
E.1.2	Version 20.0 20.0 20.0 20.0	version, system organ class, System Organ Class 100000004873 100000004873 100000004873	Classification Code 10000702 10013758 10042275 10012220	Term Acute delirium Drug-induced delirium Subacute delirium Delirium due to a general medical condition	Level LLT LLT LLT LLT
	20.0	10000004873	10012226	Delirium, cause unknown	LLT
	20.0	10000004863	10050233	Delirium on emergence	LLT
	20.0	10000004873	10071313	Hypoactive delirium	LLT
	20.0	10000004873	10071314	Hyperactive delirium	LLT
	20.0	10000004873	10071315	Mixed delirium	LLT
E.1.3	Is any of	the conditions being studied	a rare disease ²⁵ ?	No ∙	

E.2	OBJECTIVE OF TH	IE TRIAL
E.2.1	Main objective:	
	English	To assess benefits and harms of haloperidol in adult, critically ill patients with delirium in the ICU. The primary objective is to determine, if haloperidol treatment in ICU patients with delirium will increase the number of days alive out of the hospital within 90 days. This primary objective includes 90 days mortality and length of hospital stay within 90 days after randomisation.
E.2.2	Secondary objectiv	es:
	English	To investigate if haloperidol as compared with placebo in ICU patients with delirium will change the: - Number of days alive without delirium or coma in the ICU - Number of patients with one or more adverse reactions and/or the total number of adverse reactions to haloperidol compared with placebo. - Number of patients needing one or more doses of escape medicine and or the dosages of escape medicine per patient in the haloperidol Group compared with the placebo group - Number of days alive without mechanical ventilation in the 90-day trial period - One-year mortality after inclusion - Measurement of cognitive function one year after inclusion on selected trial sites. - A health economic analysis will be performed.
E.2.3	Is there a sub-stud	v? No •

E.2.3.1 If 'Yes', give the full title, date and version of each sub-study and their related objectives:

E.3 PRINCIPAL INCLUSION CRITERIA (list the most important) English - Acute admission to the ICU AND - Age ≥ 18 years AND - Diagnosed delirium with a validated screening tool as either CAM-ICU or ICDSC.

E.4	PRINCIPAL E	XCLUSION CRITERIA (list the most important)
	English	 Contraindications to haloperidol Habitual treatment with any antipsychotic medication Permanently incompetent (e.g. dementia, mental retardation) Delirium assessment non-applicable (coma or language barriers) Withdrawal from active therapy or brain death Fertile women (women < 50 years) with positive urine human chorionic gonadotropin (hCG) or plasma-hCG Consent according to national regulations not obtainable Patients under coercive measures by regulatory authorities Patients with alcohol-induced delirium (delirium tremens)

E.5	END POINT(S):		
E.5.1	Primary End Point English	(repeat as necessary) ²⁶ Days alive out of the hospital within 90 days post-randomisation	
E.5.1.1	Timepoint(s) of ev English	valuation of this end point 90 days post-randomisation	
E.5.2			
E.5.2.1	Timepoint(s) of ev English	raluation of this end point Endpoint number 1-4: 90 days post-randomisation Endpoint number 5-8: 1 year post-randomisation	

E.6	SCOPE OF THE TRIAL - Tick all boxes where applicable	
E.6.1	Diagnosis	No •
E.6.2	Prophylaxis	No •
E.6.3	Therapy	Yes •
E.6.4	Safety	Yes •
E.6.5	Efficacy	Yes •
E.6.6	Pharmacokinetic	No •
E.6.7	Pharmacodynamic	No •
E.6.8	Bioequivalence	No •
E.6.9	Dose Response	No •
E.6.10	Pharmacogenetic	No •
E.6.11	Pharmacogenomic	No •
E.6.12	Pharmacoeconomic	No •
E.6.13	Others	No ◆
E.6.13.1	If others, specify:	

E.7	TRIAL TYPE AND PHASE ²⁷	
E.7.1	Human pharmacology (Phase I)	No •
Is it:		
E.7.1.1	First administration to humans	No ●
E.7.1.2	Bioequivalence study	No ∙
E.7.1.3	Other:	No •
E.7.1.3.1	If other, please specify:	
E.7.2	Therapeutic exploratory (Phase II)	No ◆
E.7.3	Therapeutic confirmatory (Phase III)	No •
E.7.4	Therapeutic use(Phase IV)	Yes •

E.8	DESIGN OF THE TRIAL		
E.8.1	Controlled	Yes •	
	If 'Yes', specify:		
E.8.1.1	Randomised:	Yes •	
E.8.1.2	Open:	No •	
E.8.1.3	Single blind:	No •	
E.8.1.4	Double blind:	Yes •	
E.8.1.5	Parallel group:	Yes •	
E.8.1.6	Cross over:	No •	
E.8.1.7	Other:	No •	
E.8.1.7.1	If other specify:		
E.8.2	If controlled, specify the comparator:		
E.8.2.1	Other medicinal product(s)	No ●	
E.8.2.2	Placebo	Yes •	
E.8.2.3	Other	No •	
E.8.2.3.1	If 'Yes' to other, specify:		
E.8.2.4	Number of treatment arms in the trial	2	
E.8.3	Single site in the Member State concerned (see	e also section G): No •	
E.8.4	Multiple sites in the Member State concerned(s	see also section G): Yes •	
E.8.4.1	Number of sites anticipated in Member State concerned 20		
E.8.5	Multiple Member States:	Yes •	
E.8.5.1	Number of sites anticipated in the EEA:	30	
E.8.6	Trial involving sites outside the EEA:		
E.8.6.1	Trial being conducted both within and outside		
E.8.6.2	Trial being conducted completely outside of the	e EEA: No ●	
E.8.6.3	If E.8.6.1 or E.8.6.2 are Yes, specify the region	ns in which trial sites are planned:	
	Denmark		
	Finland		
	France		
	Iceland		
	Italy		
	Netherlands		

	Norway Spain Sweden Switzerland United Kingdom	
E.8.6.4	If E.8.6.1 or E.8.6.2 are Yes, specify the number of s anticipated outside of the EEA:	ites 1
E.8.7	Trial having an independent data monitoring committee	ee: Yes •
E.8.8	Definition of the end of trial: If it is the last visit of the last subject, please enter "LVLS". If it is not LVLS provide the definition: English 1 year post-randomisation of the last included patient in the trial	
E.8.9	Initial estimate of the duration of the trial ²⁸ (years, m	onths and days)
E.8.9.1	In the Member State concerned 3 years months days	
E.8.9.2	In all countries concerned by the trial 3 years months days	
E.8.10	Proposed date of start of recruitment	
E.8.10.1	In the Member State concerned	2018-02-01
E.8.10.2	In any country	2018-02-01

F. POPULATION OF TRIAL SUBJECTS

F.1	AGE RANGE			
F.1.1	Are the trial subjects under 18?		No ∙	
	If 'Yes', specify the estimated num	ber of subjects		
	planned in each age range for the	whole trial:		
		Approx. No. of		
		patients ²⁹		
F.1.1.1	In utero	()	No ∙	
F.1.1.2	Preterm newborn infants (up to	()	No ◆	
	gestational age < 37 weeks)			
F.1.1.3	Newborns (0-27 days)	()	No ◆	
F.1.1.4	Infants and toddlers (28 days -	()	No ◆	
	23 months)			
F.1.1.5	Children (2-11 years)	()	No ∙	
F.1.1.6	Adolescents (12-17 years)	()	No ∙	
F.1.2	Adults (18-64 years)	(200)	Yes •	
F.1.3	Elderly (>= 65 years)	(800)	Yes •	

F.2	GENDER	
F.2.1	Female	Yes •
F.2.2	Male	Yes •

F.3	GROUP OF TRIAL SUBJECTS	
F.3.1	Healthy volunteers	No ∙
F.3.2	Patients	Yes •
F.3.3	Specific vulnerable populations	Yes •
F.3.3.1	Women of child bearing potential not using contraception	Yes •
F.3.3.2	Women of child bearing potential using contraception	Yes •
F.3.3.3	Pregnant women	No ◆
F.3.3.4	Nursing women	Yes •
F.3.3.5	Emergency situation	Yes •
F.3.3.6 F.3.3.6.1	Subjects incapable of giving consent personally If 'Yes', specify:	Yes •
	is the hallmark of delirium), to in	ents without consent. Consent will be
F.3.3.7 F.3.3.7.1	Others: If 'Yes', specify:	No ◆

F.4	PLANNED NUMBER OF SUBJECTS TO BE INCLUDED:		
F.4.1	In the member state 750		
F.4.2	For a multinational trial:		
F.4.2.1	In the EEA	850	
F.4.2.2	In the whole clinical trial	1000	

_	PLANS FOR TREATMENT OR CARE AFTER THE SUBJECT HAS ENDED HIS/HER PARTICIPATION IN THE TRIAL. please specify (free text):	
ı	English	None

G. CLINICAL TRIAL SITES/INVESTIGATORS IN THE MEMBER STATE CONCERNED BY THIS REQUEST

G.1	CO-ORDINATING INVESTIGATOR (for multicentre trial) and principal investigator (for single centre trial)	
G.1.1	Given name:	Nina Christine
G.1.2	Middle name, if applicable:	
G.1.3	Family name:	Andersen-Ranberg
G.1.4	Qualification (MD)	MD
G.1.5	Professional address:	
G.1.5	Institution name	Zealand University Hospital, Koege
G.1.5	Institution department	Department of Anaesthesiology
G.1.5.1	Street address	Lykkebaekvej 1
G.1.5.2	Town/city	Koege
G.1.5.3	Post code	4600
G.1.5.4	Country	Denmark
G.1.6	Telephone number:	+45 47326493
G.1.7	Fax number:	
G.1.8	E-mail:	ncan@regionsjaelland.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Sven-Olaf
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Weber
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Aalborg University Hospital
G.2.5	Institution department	Department of Anaesthesiology
G.2.5.1	Street address	Hobrovej 18-22
G.2.5.2	Town/city	Aalborg
G.2.5.3	Post code	9000
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additio forms)	
G.2.1	Given name:	Carsten
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Thee
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Aabenraa Sygehus
G.2.5	Institution department	Department of Anaesthesiology
G.2.5.1	Street address	Kresten Philipsens Vej 15
G.2.5.2	Town/city	Aabenraa
G.2.5.3	Post code	6200
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Anne
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Lindhart
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Bispebjerg Hospital
G.2.5	Institution department	Department og anaesthesiology
G.2.5.1	Street address	Bispebjerg Bakke 23
G.2.5.2	Town/city	Copenhagen NV
G.2.5.3	Post code	2400
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	

G.2	PRINCIPAL INVESTIGATORS forms)	6 (for multicentre trial ; where necessary, use additional
G.2.1	Given name:	Troels
G.2.2	Middle name, if applicable:	Bek
G.2.3	Family name:	Jensen
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Herning Sygehus
G.2.5	Institution department	Department of Anaesthesiology
G.2.5.1	Street address	Gl. Landevej 61
G.2.5.2	Town/city	Herning
G.2.5.3	Post code	7400
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Hans Henrik
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Bülow
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Holbæk Sygehus
G.2.5	Institution department	Department of Anaesthesiology
G.2.5.1	Street address	Smedelundsgade 60
G.2.5.2	Town/city	Holbæk
G.2.5.3	Post code	4300
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	hhbu@regionsjaelland.dk

G.2	PRINCIPAL INVESTIGATORS forms)	(for multicentre trial ; where necessary, use additional
G.2.1	Given name:	Nilanjan
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Dey

G.2.4	Qualification (MD)		
G.2.5	Professional address:		
G.2.5	Institution name	Holstebro Sygehus	
G.2.5	Institution department		
G.2.5.1	Street address		
G.2.5.2	Town/city		
G.2.5.3	Post code		
G.2.5.4	Country	Denmark	
G.2.6	Telephone number:		
G.2.7	Fax number:		
G.2.8	E-mail:		

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Morten
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Borup
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Kolding Sygehus
G.2.5	Institution department	
G.2.5.1	Street address	Sygehusvej 24
G.2.5.2	Town/city	Kolding
G.2.5.3	Post code	6000
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	morten.borup@rsyd.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Morten
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Bestle
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Nordsjællands hospital
G.2.5	Institution department	
G.2.5.1	Street address	
G.2.5.2	Town/city	
G.2.5.3	Post code	
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Anders
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Perner
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Rigshospitalet, klinik for intensiv terapi 4131
G.2.5	Institution department	
G.2.5.1	Street address	
G.2.5.2	Town/city	

G.2.5.3	Post code		
G.2.5.4	Country	Denmark	
G.2.6	Telephone number:		
G.2.7	Fax number:		
G.2.8	E-mail:		

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Susanne
G.2.2	Middle name, if applicable:	Andi
G.2.3	Family name:	Iversen
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Slagelse Sygehus
G.2.5	Institution department	
G.2.5.1	Street address	
G.2.5.2	Town/city	
G.2.5.3	Post code	
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Siv
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Leivdal
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Sønderborg Sygehus
G.2.5	Institution department	- 1-
G.2.5.1	Street address	Sydvang 1
G.2.5.2	Town/city	Sønderborg
G.2.5.3	Post code	6400
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	siv.leivdal@rsyd.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Thomas
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Mohr
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Gentofte Hospital
G.2.5	Institution department	
G.2.5.1	Street address	Kildegaardsvej 28
G.2.5.2	Town/city	Gentofte
G.2.5.3	Post code	2900
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	thomas.mohr@regionh.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Sofie
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Andreasen
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Herlev Hospital
G.2.5	Institution department	
G.2.5.1	Street address	Herlev Ringvej 75
G.2.5.2	Town/city	Herlev
G.2.5.3	Post code	2730
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	anne.sofie.andreasen@regionh.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Henrik
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Planck Pedersen
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Zealand University Hospital, Roskilde
G.2.5	Institution department	
G.2.5.1	Street address	Sygehusvej 10
G.2.5.2	Town/city	Roskilde
G.2.5.3	Post code	4000
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	hppd@regionsjaelland.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial; where necessary, use additional forms)	
G.2.1	Given name:	Helle
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Scharling Pedersen
G.2.4	Qualification (MD)	
G.2.5	Professional address:	
G.2.5	Institution name	Nykøbing Falster Hospital
G.2.5	Institution department	
G.2.5.1	Street address	Fjordvej 15
G.2.5.2	Town/city	Nykøbing Falster
G.2.5.3	Post code	4800
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	hbpn@regionsjaelland.dk

G.3 CENTRAL TECHNICAL FACILITIES TO BE USED IN THE CONDUCT OF THE TRIAL

Laboratory or other technical facility, in which the measurement or assessment of the main evaluation criteria are centralised (repeat as needed for multiple organisations).

G.3.1	Name of organisation:	
G.3.2	Department	
G.3.3	Name of contact person:	
G.3.3.1	Given name	
G.3.3.2	Middle name	
G.3.3.3	Family name	
G.3.4	Address:	
G.3.4.1	Street address	
G.3.4.2	Town/city	
G.3.4.3	Post code	
G.3.4.4	Country	
G.3.5	Telephone number:	
G.3.6	Fax number:	
G.3.7	E-mail:	
G.3.8	Enter the details of any duties subcontracted to th	is central technical facility in this trial
G.3.8.1	Routine clinical pathology testing	Yes ? No ? Not Answered ?
G.3.8.2	Clinical chemistry	Yes ? No ? Not Answered ?
G.3.8.3	Clinical haematology	Yes ? No ? Not Answered ?
G.3.8.4	Clinical microbiology	Yes ? No ? Not Answered ?
G.3.8.5	Histopathology	Yes ? No ? Not Answered ?
G.3.8.6	Serology/ endocrinology	Yes ? No ? Not Answered ?
G.3.8.7	Analytical chemistry	Yes ? No ? Not Answered ?
G.3.8.8	ECG analysis/ review	Yes ? No ? Not Answered ?
G.3.8.9	Medical image analysis/ review - X-ray, MRI,	Yes ? No ? Not Answered ?
	ultrasound, etc.	
G.3.8.10	Primary/ surrogate endpoint test	Yes ? No ? Not Answered ?
G.3.8.11	Other Duties subcontracted?	Yes ? No ? Not Answered ?
G.3.8.11.1	If 'Yes', specify the other duties	

G.4	NETWORKS TO BE INVOLVED IN TH	HE TRIAL (e.g. Paediatric Networks involved in the
G.4.1	Name of organisation:	Scandinavian Critical Care Trials Group
G.4.2	Name of contact person:	
G.4.2.1	Given name	Anders
G.4.2.2	Middle name	
G.4.2.3	Family name	Perner
G.4.3	Address:	
G.4.3.1	Street address	Blegdamsvej 9
G.4.3.2	Town/city	Copenhagen
G.4.3.3	Post code	2100
G.4.3.4	Country	Denmark
G.4.4	Telephone number:	
G.4.5	Fax number:	
G.4.6	E-mail:	anders.perner@regionh.dk
G.4.7	Activities carried out by the network:	

G.4	NETWORKS TO BE INVOLVED trial)	IN THE TRIAL (e.g. Paediatric Networks involved in the
G.4.1	Name of organisation:	Copenhagen Trial Unit
G.4.2	Name of contact person:	
G.4.2.1	Given name	Jørn
G.4.2.2	Middle name	
G.4.2.3	Family name	Wetterslev
G.4.3	Address:	
G.4.3.1	Street address	Blegdamsvej 9
G.4.3.2	Town/city	Copenhagen
G.4.3.3	Post code	2100

G.4.3.4	Country	Denmark	
G.4.4	Telephone number:		
G.4.5	Fax number:		
G.4.6	E-mail:	wetterslev@ctu.dk	
G.4.7	Activities carried out by the network:		

G.4	NETWORKS TO BE INVOLVED IN THE TRIAL (e.g. Paediatric Networks involved in the trial)		
G.4.1	Name of organisation:	Centre for Research in Intensive Care (CRIC)	
G.4.2	Name of contact person:		
G.4.2.1	Given name	Anders	
G.4.2.2	Middle name		
G.4.2.3	Family name	Perner	
G.4.3	Address:		
G.4.3.1	Street address	Blegdamsvej 9	
G.4.3.2	Town/city	Copenhagen	
G.4.3.3	Post code	2100	
G.4.3.4	Country	Denmark	
G.4.4	Telephone number:		
G.4.5	Fax number:		
G.4.6	E-mail:	Anders.perner@regionh.dk	
G.4.7	Activities carried out by the network:		

G.5	ORGANISATIONS TO WHOM THE SP DUTIES AND FUNCTIONS	ONSOR HAS TRANSFERRED TRIAL RELATED			
G.5.1	Has the sponsor transferred any major or all the sponsor's trial Yes • related duties and functions to another organisation or third party?				
Repeat as n	necessary for multiple organisations:				
G.5.1.1	0.94	CP Unit			
G.5.1.2		openhagen University Hospital			
G.5.1.3	Name of contact person :				
G.5.1.3.1		ernille			
G.5.1.3.2	Middle name				
G.5.1.3.3		sk Aabo			
G.5.1.4	Address:				
G.5.1.4.1		ispebjerg Hospital, building 51, 3rd, Bispebjerg akke 23			
G.5.1.4.2	Town/city Co	openhagen			
G.5.1.4.3	Post code 24	400			
G.5.1.4.4	Country	enmark			
G.5.1.5	Telephone number: +	45 38635794			
G.5.1.6	Fax number:				
G.5.1.7		ernille.ask.aabo@regionh.dk			
G.5.1.8	All tasks of the sponsor	Not Answered ●			
G.5.1.9	Monitoring	Yes •			
G.5.1.10	Regulatory (e.g. preparation of applicat ethics committee)	ions to CA and Not Answered •			
G.5.1.11	Investigator recruitment	Not Answered ●			
G.5.1.12	IVRS ³⁰ – treatment randomisation	Not Answered ●			
G.5.1.13	Data management	Not Answered ●			
G.5.1.14	E-data capture	Not Answered ●			
G.5.1.15	SUSAR reporting	Not Answered ●			
G.5.1.16	Quality assurance auditing	Not Answered ●			
G.5.1.17	Statistical analysis	Not Answered ●			
G.5.1.18	Medical writing	Not Answered ●			
G.5.1.19	Other duties subcontracted?	Not Answered ●			

G.5.1.19.1	If 'Yes' to other, please specify:

H. COMPETENT AUTHORITY / ETHICS COMMITTEE IN THE MEMBER STATE CONCERNED BY THIS REQUEST

H.1 TYPE OF APPLICATION

If this application is addressed to the Competent Authority, please tick the Ethics Committee box and give information on the Ethics committee concerned. If this application is addressed to the Ethics Committee, please tick the Competent Authority box and give the information on the Competent Authority concerned.

H.1.1	Competent Authority	No ●
H.1.2	Ethics Committee	Yes •

H.2	INFORMATION ON ETHICS COMMITTEE		
H.2.1	Name:	The Commitée on Health Research Ethics for the Zealand Region	
H.2.2	Address		
H.2.2.1	Street address	Alléen 15	
H.2.2.2	Town/city	Soroe	
H.2.2.3	Post code	4180	
H.2.2.4	Country	Denmark	
H.2.3	Date of submission:	2017-09-18	

H.3	OPINION		
H.3.1	To be requested	No ●	
H.3.2	Pending	Yes •	
H.3.3	Given	No ●	
	If 'Given', specify:		
H.3.3.1	Date of opinion:		
H.3.3.2	Opinion favourable	No ●	
H.3.3.3	Opinion not favourable	No ●	
	If not favourable, give:		
H.3.3.3.1	The reasons		
H.3.3.3.2	The eventual anticipated date	of resubmission:	

I. SIGNATURE OF THE APPLICANT IN THE MEMBER STATE

I.1	I hereby confirm that /confirm on behalf of the sponsor (delete which is not applicable) that:
	 the information provided is complete;
	 the attached documents contain an accurate account of the information available;
	 the clinical trial will be conducted in accordance with the protocol; and
	 the clinical trial will be conducted, and SUSARs and result-related information will be
	reported, in accordance with the applicable legislation.

I.2	APPLICANT OF THE REQUEST FOR THE COMPETENT AUTHORITY (as stated in section C.1):
I.2.1	Date:
I.2.2	Signature ³¹ :
I.2.3	Print name:

I.3	APPLICANT OF THE REQUEST FOR THE ETHICS COMMITTEE (as stated in section C.2):
I.3.1	Date:
I.3.2	Signature ³² :
I.3.3	Print name:

XML File Identifier: Pc98ZjYs6Oe7wV3QoSHS/ooqCF0=

ENDNOTES

- ¹ Any translation of the protocol should be assigned the same date and version as those in the original document
- ² International Standard Randomised Controlled Trial Number. Sponsors may wish to use an International Standardised Random Controlled Trial Number (ISRCTN) to identify their trial in addition to the EudraCT number; for instance if their trial is part of a multinational trial with sites outside the Community. They can obtain the number and guidance from the Current Controlled Trials website http://www.controlled-trials.com/isrctn to which there is a link from the EudraCT database website http://eudract.ema.europa.eu. When available they should provide it in Section A.6 of the application form.
- ³ US National Clinical Trial (NCT) Numbers required on the FDA clinical trial application form.
- ⁴ For a resubmission following previous withdrawal of an application or unfavourable opinion of an ethics committee, or previous withdrawal of an application or refusal of a request by the competent authority, enter a letter in the sequence, A for first resubmission, B for second, C for third et seq.
- ⁵ In accordance with Article 19 of Directive 2001/20/EC.
- ⁶ The contact point should give functional information rather than details of one "person", in order to avoid the need for update and maintenance of these contact details.
- ⁷ This requires a EudraLink account. (See https://eudract.ema.europa.eu/document.html for details)
- 8 According to national legislation.
- ⁹ Available from the Summary of Product Characteristics (SmPC)
- ¹⁰ According to the Community register on orphan medicinal products (Regulation (EC) n° 141/2000): http://ec.europa.eu/enterprise/pharmaceuticals/register/index.htm
- 11 Committee for Medicinal Products for Human Use of the European Medicines Agency
- ¹² To be provided only when there is No trade name. This is the name routinely used by a sponsor to identify the IMP in the CT documentation (protocol, IB...).
- ¹³ To be provided only when there is No trade name. This is a code designated by the sponsor which represents the name routinely used by the sponsor to identify the product in the CT documentation. For example, a code may be used for combinations of drugs or drugs and devices.
- ¹⁴ Available from the Summary of Product Characteristics (SmPC).
- ¹⁵ Chemical Abstracts Service.
- ¹⁶ Complete also section D.4 Cell therapy as defined in Annex 1 part IV of Directive 2001/83/EC as amended.
- ¹⁷ Complete also section D.5 Gene Therapy as defined in Annex 1 part IV of Directive 2001/83/EC as amended.
- ¹⁸ Complete also section D.6 Tissue Engineered Product as defined in Article 2(1)(b) of Regulation1394/2007/EC.
- 19 Complete also section D.7
- 20 The mode of action should briefly describe the chemical, biochemical, immunological or biological means the IMP uses to effect its pharmaceutical action.
- ²¹ Guideline on strategies to identify and mitigate risks for first-in-human clinical trials with investigational medicinal products. EMEA/CHMP/SWP/28367/2007 19 July 2007
- ²² In accordance with paragraph 38 of Annex 13 of Volume 4 of the Rules Governing Medical Products in the European Union.
- ²³ In the case of healthy volunteer trials, the intended indication for the product under development should be provided.
- ²⁴ Applicants are encouraged to provide the MedDRA lower level term if applicable and classification code. These can be accessed from the EMEA EudraCT website (http://eudract.ema.europa.eu/).
- ²⁵ Points to consider on the calculation and reporting of the prevalence of a condition for Orphan drug designation: COM/436/01 (http://www.ema.europa.eu/htms/human/orphans/intro.htm).
- ²⁶ The protocol will usually identify a single primary end point but there may be a co-primary end point in some cases and/or a number of secondary end points.
- ²⁷ The descriptions of the trial types provided are those recommended in preference to Phases. See page 5 of Community guideline CPMP/ICH/291/95. The development of a new indication after initial approval of a medicine should be considered as a new development plan.
- ²⁸ From the first inclusion until the last visit of the last subject.
- ²⁹ These numbers will be initial estimates. Applicants will not be required to update this information nor do they constitute an authorisation or restriction on the inclusion of these numbers of patients in the trial. The numbers of subjects whose inclusion is authorised are those set out in the authorised version of the protocol, or subsequent authorised amendments.
- ³⁰ Interactive Voice Response System: commonly used for randomisation of treatment and controlling the shipment of stock of product.
- ³¹ On an application to the Competent Authority only, the applicant to the Competent Authority needs to sign.

³² On an application to the E	thics Committee only,	the applicant to the	Ethics Committee nee	ds to sign.