

# Partition Functions of Heterotic Potentials

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# I: Introduction and Motivation

## Physics / Pheno:

- The world is **Quantum**!

## Mathematics:

- TQFT  $\Leftrightarrow$  **Geometric / enumerative / topological invariant theory.**

Type II String: Topological A model and B model

Heterotic: All sectors are coupled!

# I Stringy Moduli Problems

String theory/SUSY  $\rightsquigarrow$  Geometries with special structure  
(Calabi-Yau, Instantons, ...)

Spacetime:  $M_{10} = M_4 \times X_6$

Supersymmetry:  $X_6$  has special structure.

{ deformations of  $X_6$  }  $\rightsquigarrow$  { Interesting }  
{ Moduli problems } { physics in 4d. }



3 levels of understanding moduli:

I) Infinitesimal massless spectrum:

- Geometry is described by BPS equations:

$$\text{BPS} = 0 \leftarrow \text{SUSY equations}$$

- Infinitesimal deformation:

$$\delta(\text{BPS}) = 0 \rightsquigarrow \mathcal{D}\alpha = 0$$

- Identity differential  $\mathcal{D}$ ,  $\mathcal{D}^2 = 0$ .

- Massless fields / infinitesimal moduli:

$$TM = H^1_{\mathcal{D}} = \frac{\{\text{deformations } \alpha \mid \mathcal{D}\alpha = 0\}}{\{\text{symmetry transformations} \mid \alpha = \mathcal{D}\gamma\}}$$

↑  
Infinitesimal symmetries

-  $\mathcal{D}$  is usually part of an elliptic complex:

$$\dots \xrightarrow{\mathcal{D}} \Omega^{-1} \xrightarrow{\mathcal{D}} \Omega^0 \xrightarrow{\mathcal{D}} \Omega^1 \xrightarrow{\mathcal{D}} \Omega^2 \xrightarrow{\mathcal{D}} \dots \rightsquigarrow \text{Finite dimensional spectrum.}$$

Exs: deformations of integrable complex structure:

$$\mu: \text{Beltrami differential} \rightsquigarrow [\mu] \in H^1_{\bar{\partial}}{}^{(0,1)}(T^{(1,0)}X) \cong H^1_{\bar{\partial}}{}^{(2,1)}(X).$$

↑  
 $X$  is Calabi-Yau

## II) Understand Geometry of moduli space $\mathcal{M}$ :

- Geometric Structures on  $\mathcal{M}$ : Complex? Kähler?, ...
- Higher order def's; obstructions (Yukawa couplings), smooth directions, superpotential, ...
- Finite deformations: Solve Maurer-Cartan equation in associated  $L_\infty$ -algebra:

$$Dd + \frac{1}{2}[d, d] (+ \dots) = 0$$

Exs: Finite def's of complex structure  $\mu \in \Omega^{(0,1)}(T^{(1,0)}X)$   
Solve

$$\bar{\partial}\mu + \frac{1}{2}[\mu, \mu] = 0$$

$\leadsto$  Diff. graded Lie Algebra.



Tian-Todorov:  $X$  Calabi-Yau (or  $\mathbb{P}^2$ -lemma)

$\Rightarrow$  infinitesimal complex structure moduli are unobstructed.

III) Understand Quantum moduli space:

- Quantize theory (BV-BRST, AKSZ, ...)
- Non-perturbative effects; Instantons, dualities, ...
- Compute Invariants:  $\swarrow$  associated to structure ... Knot invariants (CS-theory), Donaldson-Thomas, Gromov-Witten, ...
- Find topological theory governing geometric structure.

Exs:

	"Target space"	"World-sheet"
Complex structure	Kodaira-Spencer theory	Witten's B-model
Mirror Symmetry ↕	-----	-----
Kähler structure	Kähler-gravity	Witten's A-model
Open-Closed duality (Conifold transition) ↕	-----	-----
Various Gauge theories	Chern-Simons Donaldson-Thomas (Hol. CS-theory)	Versions of topological open string

## II: Heterotic Moduli



The moduli problem of 6-dimensional heterotic geometries (Hall-Strominger solutions) are governed by the following action:

[Ashmore-de la Ossa-Minasian - E.E.S  
- Strickland-Constable '18]

$$S(\gamma) = \int_X ( \langle \gamma, \bar{D}\gamma \rangle + \frac{1}{3} \langle \gamma, [\gamma, \gamma] \rangle ) \wedge \Omega$$

↑
↓
↓
↓
↓

"Calabi-Yau"
Holomorphic
Volume
Form

-  $\gamma \in \Omega^1 ( \underbrace{T^{*1,0}X \oplus \text{End}(U) \oplus T^{1,0}X}_Q )$ ,  $\gamma = (x, \alpha, \mu)$

↓
↓
↓

Hermitian gauge
Complex

- Natural differential  $\bar{D}$ ;  $\bar{D}^2 = 0$ , pairing  $\langle, \rangle$ , and bracket  $[\ , ]$ : Holomorphic Courant Algebroid

Similar theories: [Rosa et al '12, Costello-Li '15, 16, 19, Costello-Williams '21, ...]

Note:

- To preserve Supersymmetry:  $\delta S(g) = 0$  and  $S(g) = 0$   
 $\Rightarrow$  EOM is the MC-equation of an  $L_3$ -algebra.
- Infinitesimal EOM:  $\bar{D}g = 0 \Rightarrow TM \cong H_{\bar{D}}^{(0,1)}(Q)$ .
- Note also similarity with holomorphic Chern-Simons.  
In fact:

$$x = \mu = 0 \Rightarrow S = S_{CS}(a) = \int_X \text{tr} \left( a \bar{d}a + \frac{1}{3} a^3 \right) \wedge \Omega$$

Note that the Partition function

$$Z = \int \mathcal{D}d e^{-S_C(d)}$$

is the generating function for Donaldson-Thomas invariants.

Natural Question: Can we make sense of

$$Z = \int \mathcal{D}g e^{-S_C(g)}$$

and use it to compute invariants of heterotic geometries (holomorphic Courant algebroids)?



### III: "Toy-Toy-Model": Chern-Simons

$$S_{CS}(A) = \int_{M_3} \text{tr} \left( A dA + \frac{2}{3} A^3 \right)$$

$$A \in \Omega^1(\mathfrak{g}) \quad , \quad \dim(M_3) = 3.$$

$$\text{EOM: } F(A_0) = dA_0 + A_0 \wedge A_0 = 0.$$

$$\text{1-loop Action: } A = A_0 + \alpha \quad , \quad \alpha \in \Omega^1(\text{End}(V))$$

$$\Rightarrow S(\alpha) = \int_{M_3} \alpha d_0 \alpha \quad , \quad d_0 = d + A_0.$$

Partition function:

$$Z(M_3) = \frac{1}{\text{Vol}(\mathfrak{g})} \int \mathcal{D}d e^{-S(d)}$$

No metric  $\rightsquigarrow$  Topological invariant of  $M_3$ .

$S(d)$  has gauge symmetry:  $d \rightarrow d + d_0 \in \mathfrak{g}$ .

$\Rightarrow$  Quantise using BV-BRST formalism.

OR: Physical Approach [Pestun-Witten '05]

Often sufficient for quadratic actions...

Finite-dimensional case:

-  $S_{ij}$  symmetric pos. definite matrix

$$= \int dx_1 dx_2 \dots dx_n \exp\left(-\frac{1}{2} \sum_{i,j} x_i S_{ij} x_j\right) \propto \frac{1}{\det(S)^{\frac{1}{2}}}$$

Generalisation to field theory:

Choose metric  $g$  on  $M_3$ :

$$\Rightarrow \text{Hodge decomposition: } \Omega^1 = d_0 \Omega^0 \oplus d_0^+ \Omega^2$$

(we ignore finite-dimensional space of harmonic forms)

$$\Rightarrow Z = \frac{1}{\text{Vol}(G)} \int_{\alpha \in d_0 \Omega^0} \int_{\alpha \in d_0^+ \Omega^2} \mathcal{D}\alpha \exp(-S(\alpha))$$



$$= \frac{1}{\text{Vol}(\mathfrak{g})} \frac{\text{Vol}(d_0 \Omega^0)}{\det(d_0 : d_0^+ \Omega^2 \rightarrow d_0 \Omega^0)^{\frac{1}{2}}}$$

Goal: Write answer in terms of determinants of **elliptic operators (Laplacians)**, whose determinants can be **regularised**.

Denominator:  $\det(d_0 : d_0^+ \Omega^2 \rightarrow d_0 \Omega^0)^{\frac{1}{2}}$

We define:

$$\det(d_0 |_{d_0^+ \Omega^2}) := \det(d_0^+ d_0 |_{d_0^+ \Omega^2})^{\frac{1}{2}}$$

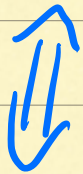
Note:

$$\det(d_0^+ d_0 |_{d_0^+ \Omega^2}) = \frac{\det(d_0^+ d_0 |_{d_0^+ \Omega^2}) \det(d_0 d_0^+ |_{d_0 \Omega^0})}{\det(d_0 d_0^+ |_{d_0 \Omega^0})}$$

$$\approx \frac{\det(\Delta')}{\det(d_0 d_0^+ / d_0 \Omega^0)}$$

Consider *eigenvector*  $d_0 \alpha$  of  $d_0 d_0^+$  on  $d_0 \Omega^0$ :

$$d_0 d_0^+ d_0 \alpha = \lambda d_0 \alpha$$



( $d_0$  is invertible on  $\text{Im}(d_0^+)$ )

$$\underbrace{d_0^+ d_0}_{\Delta^0} \alpha = \lambda \alpha$$

$\Delta^0$

$$\Rightarrow \det(d_0 d_0^+ / d_0 \Omega^0) = \det(\Delta^0)$$

$$\Rightarrow \det(d_0^+ d_0 / d_0^+ \Omega^2) = \frac{\det(\Delta^1)}{\det(\Delta^0)}$$

Numerator:  $\text{Vol}(d_0 \Omega^0)$ .

Recall: Given Linear operator  $A: V \rightarrow W$

$$\Rightarrow \text{Vol}(AV) = \det(A) \frac{\text{Vol}(V)}{\text{Vol}(\ker(A))}$$



$$\Rightarrow \text{Vol}(d_0 \Omega^0) = \underbrace{\det(d_0|_{\Omega^0})}_{\det(\Delta^0)^{\frac{1}{2}}} \frac{\text{Vol}(\Omega^0)}{\underbrace{\text{Vol}[\ker(d_0|_{\Omega^0})]_{H^0(M_3)}}} = 1$$

$$\Rightarrow \text{Vol}(d_0 \Omega^0) = \det(\Delta^0)^{\frac{1}{2}} \text{Vol}(\Omega^0)$$

↑  
Volume of gauge-transformations

Collect:

$$Z(M_3) = \frac{1}{\text{Vol}(G)} \frac{\det(\Delta^0)^{\frac{3}{4}}}{\det(\Delta^1)^{\frac{1}{4}}} \text{Vol}(\Omega^0)$$

$$\Rightarrow Z(M_3) = \frac{\overbrace{|\Delta^0|}^{\text{Regularise}}^{\frac{3}{4}}}{\underbrace{|\Delta'|}^{\frac{1}{4}}}$$

This is the **Ray-Singer** torsion of  $M_3$ .

This is **Topological!**

## IV: Heterotic Superpotential

Superpotential [Cardoso et al '03, Garavito et al '04, ...]:

$$W = \int_{X_6} (H + i d\omega) \wedge \Omega \quad \text{see above}$$

For us: - Background  $X_6$  is Calabi-Yau.

- Large volume ( $\alpha' \rightarrow 0$ )

$\rightsquigarrow$  gauge sector decouples.

$\Rightarrow$  1-loop action:

$$S = \int_{X_6} [x \wedge \bar{\partial} \chi + b \wedge \partial \chi + c \bar{\partial} b]$$

$$x \in \Omega^{1,1}, \quad \chi \in \Omega^{2,1}, \quad b \in \Omega^{0,2}, \quad c \in \Omega^{3,0}.$$



⇒ 1-loop partition function:

$$Z_W(X_g) = \frac{|\tilde{\Delta}^{1,0}| |\tilde{\Delta}^{0,1}|}{|\tilde{\Delta}^{1,1}|^{\frac{1}{2}} |\tilde{\Delta}^{0,0}|^{\frac{3}{2}}}$$

Again,  $Z_W(X_g)$  is topological!

Note:

$$Z_{\text{IIA}}^{1\text{-loop}}(X) = Z_{\text{IIB}}^{1\text{-loop}}(X) \text{ at large volume } (d' \rightarrow 0).$$

↑  
Hermitian structure

↑  
Complex structure

In heterotic, we might then expect:

$$Z_W^{1\text{-loop}}(X) = Z_{\mathcal{K}}^{1\text{-loop}}(X) \quad (\alpha' \rightarrow 0)$$

where  $\mathcal{K}$  is the Kähler potential:

$$e^{-\mathcal{K}} = i \int_X \Omega \wedge \bar{\Omega} + \frac{1}{6} \int_X \omega \wedge \omega \wedge \omega$$

Kähler form



$$Z_{\Omega}(X)$$



$$Z_{\omega}(X)$$

The 1-loop partition function of  $Z_{\Omega}(X)$  has been computed in [Pestun-Witten '05]. We find

$$Z_W^{1\text{-loop}}(X) = Z_{\Omega}^{1\text{-loop}}(X)^2$$

**BUT:** Ordinary Mirror symmetry suggests

$$Z_{\Omega}^{1\text{-loop}}(X) = Z_{\omega}^{1\text{-loop}}(X) \quad (\alpha' \rightarrow 0)$$

We would hence get

$$Z_W^{1\text{-loop}}(X) = Z_\Omega^{1\text{-loop}}(X)^2 = Z_\Omega^{1\text{-loop}}(X) Z_\omega^{1\text{-loop}}(X) = Z_X^{1\text{-loop}}(X) \quad (*)$$

We are in the process of confirming this.

## IV: Conclusion / Outlook

- The 1-loop partition function of the heterotic superpotential (of the geometric sector) is Topological.

### Outlook:

- Check (\*): Compute  $Z_X(x_c)$ . (in progress)



- Turn on  $\alpha'$ : Couplings to gauge sector...  
 (Het. Bianchi:  $dH = \frac{\alpha'}{4} (t_0 F \wedge F - t_0 R \wedge R)$ )
- Applications in  $(0,2)$ -Mirror Symmetry?
- World-sheet models (holomorphic  $\beta$ - $\gamma$ -system, holomorphic twist, etc)
- Beyond 1-loop: Higher order couplings / invariants

Note: The cubic coupling in  $S_{G_2}$  has a holomorphic derivative  $\rightsquigarrow$  treat as a coupling through the modularity of jet bundles??

Thank You !

