

# ”On Finite W-algebras for superalgebras and Super Yangians”

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## 1. INTRODUCTION

- For a finite-dimensional semi-simple Lie algebra  $\mathfrak{g}$ , the *Yangian* of  $\mathfrak{g}$  is an infinite-dimensional *Hopf algebra*  $Y(\mathfrak{g})$ . It is a deformation of the universal enveloping algebra of the Lie algebra of polynomial currents of  $\mathfrak{g}$ :  $\mathfrak{g}[t] = \mathfrak{g} \otimes \mathbb{C}[t]$ .

- A *finite W-algebra* is a certain associative algebra attached to a pair  $(\mathfrak{g}, e)$  where  $\mathfrak{g}$  is a complex semi-simple Lie algebra and  $e \in \mathfrak{g}$  is a nilpotent element. It is a generalization of the universal enveloping algebra  $U(\mathfrak{g})$ .

For  $e = 0$  it coincides with  $U(\mathfrak{g})$ .

(A. Premet, *Adv. Math.*, 2002)

**Theorem.** (B. Kostant, Invent. Math. 1978)

For a reductive Lie algebra  $\mathfrak{g}$  and a *regular* nilpotent element  $e \in \mathfrak{g}$ , the finite  $W$ -algebra coincides with the center of  $U(\mathfrak{g})$ .

- This theorem does not hold for Lie superalgebras, since the finite  $W$ -algebra has a non-trivial odd part, while the center of  $U(\mathfrak{g})$  is even.

(V. Kac, M. Gorelik, A. Sergeev)

- J. Brown, J. Brundan and S. Goodwin proved that the finite  $W$ -algebra for  $\mathfrak{g} = \mathfrak{gl}(m|n)$  associated with **regular (principal)** nilpotent element is a quotient of a shifted version of the super-Yangian  $Y(\mathfrak{gl}(1|1))$  (Algebra Number Theory, 2013)

## 2. THE QUEER LIE SUPERALGEBRA $\mathfrak{g} = \mathbf{Q}(n)$

- Equip  $\mathbb{C}^{n|n}$  with the odd operator  $\zeta$  such that  $\zeta^2 = -\text{Id}$ .

$Q(n)$  is the centralizer of  $\zeta$  in the Lie superalgebra  $\mathfrak{gl}(n|n)$ :

$$\zeta = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}, \quad Q(n) = \left\{ \left( \begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) \mid A, B \text{ are } n \times n \text{ matrices} \right\}$$

- Supercommutator:  $[X, Y] = XY - (-1)^{p(X)p(Y)}YX$ .
- Standard bases in  $A$  and  $B$  respectively:

$$e_{i,j} = \left( \begin{array}{c|c} E_{ij} & 0 \\ \hline 0 & E_{ij} \end{array} \right), \quad f_{i,j} = \left( \begin{array}{c|c} 0 & E_{ij} \\ \hline E_{ij} & 0 \end{array} \right)$$

- $\mathfrak{g} = Q(n)$  admits an **odd** non-degenerate  $\mathfrak{g}$ -invariant super-symmetric bilinear form

$$(X|Y) := otr(XY) \text{ for } X, Y \in Q(n)$$

$$otr \left( \begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) = tr B$$

- $\mathfrak{g}^* \cong \Pi(\mathfrak{g})$ , where  $\Pi$  is the parity functor.

### 3. THE SUPER-YANGIAN OF $Q(n)$

The super-Yangian  $Y(Q(n))$  was introduced by M. Nazarov.  
(Lecture Notes in Math. 1992)

Let  $\mathfrak{gl}(n|n)$  be the general linear Lie superalgebra  
with the standard basis  $E_{ij}$ ,

where  $i, j = \pm 1, \dots, \pm n$ ;

$p(i) = 0$  if  $i > 0$  and  $p(i) = 1$  if  $i < 0$ .

Define an involutive automorphism  $\eta$  of  $\mathfrak{gl}(n|n)$  by

$$\eta(E_{ij}) = E_{-i,-j}$$

- $Q(n)$  is the *fixed point subalgebra* in  $\mathfrak{gl}(n|n)$  relative to  $\eta$ .

Consider the *twisted polynomial current* Lie superalgebra

$$\mathfrak{g} = \{X(t) \in \mathfrak{gl}(n|n)[t] \mid \eta(X(t)) = X(-t)\}.$$

As a vector space,  $\mathfrak{g}$  is spanned by the elements

$$E_{ij}t^m + E_{-i,-j}(-t)^m,$$

where  $m = 0, 1, 2, \dots$  and  $i, j = \pm 1, \dots, \pm n$ .

- The enveloping algebra  $U(\mathfrak{g})$  has a deformation, called the *Yangian* of  $Q(n)$ .

- M. Nazarov and A. Sergeev described the *centralizer construction* of the *Yangian* of  $Q(n)$ .

(Studies in Lie Theory, 2006)

Let  $A_n^m$  be the centralizer of  $Q(n) \subset Q(n+m)$  in the associative superalgebra  $U(Q(n+m))$  for each  $m = 1, 2, \dots$

They constructed a sequence of surjective homomorphisms

$$U(Q(n)) \longleftarrow A_n^1 \longleftarrow A_n^2 \longleftarrow \dots$$

and described the inverse limit of the sequence of centralizer algebras  $A_n^1, A_n^2, \dots$

in terms of the Yangian of  $Q(n)$ .



- $Y(Q(n))$  is the associative unital superalgebra over  $\mathbb{C}$  with the countable set of generators.

$$T_{i,j}^{(m)} \text{ where } m = 1, 2, \dots \text{ and } i, j = \pm 1, \pm 2, \dots, \pm n.$$

- The  $\mathbb{Z}_2$ -grading of the algebra  $Y(Q(n))$  is defined as follows:

$$p(T_{i,j}^{(m)}) = p(i) + p(j), \text{ where } p(i) = 0 \text{ if } i > 0 \text{ and } p(i) = 1 \text{ if } i < 0.$$

- To write down defining relations for these generators we employ the formal series in  $Y(Q(n))[[u^{-1}]]$ :

$$T_{i,j}(u) = \delta_{i,j} \cdot 1 + T_{i,j}^{(1)}u^{-1} + T_{i,j}^{(2)}u^{-2} + \dots$$

$$\begin{aligned} & (u^2 - v^2)[T_{i,j}(u), T_{k,l}(v)] \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} \\ &= (u + v)(T_{k,j}(u)T_{i,l}(v) - T_{k,j}(v)T_{i,l}(u)) \\ & - (u - v)(T_{-k,j}(u)T_{-i,l}(v) - T_{k,-j}(v)T_{i,-l}(u)) \cdot (-1)^{p(k)+p(l)} \end{aligned} \tag{1}$$

$$T_{i,j}(-u) = T_{-i,-j}(u) \tag{2}$$

- $Y(Q(n))$  is a *Hopf superalgebra* with *comultiplication* given by

$$\Delta(T_{i,j}^{(r)}) = \sum_{s=0}^r \sum_k (-1)^{(p(i)+p(k))(p(j)+p(k))} T_{i,k}^{(s)} \otimes T_{k,j}^{(r-s)}.$$

The *evaluation homomorphism*  $ev : Y(Q(n)) \rightarrow U(Q(n))$  is defined as follows

$$T_{i,j}^{(1)} \mapsto -e_{j,i}, \quad T_{-i,j}^{(1)} \mapsto -f_{j,i} \text{ for } i, j > 0, \quad T_{i,j}^{(0)} \mapsto \delta_{i,j}, \quad T_{i,j}^{(r)} \mapsto 0 \text{ for } r > 1.$$

$$\text{Basis in } Q(n) : e_{i,j} = \left( \begin{array}{c|c} E_{ij} & 0 \\ \hline 0 & E_{ij} \end{array} \right), \quad f_{i,j} = \left( \begin{array}{c|c} 0 & E_{ij} \\ \hline E_{ij} & 0 \end{array} \right)$$

#### 4. THE FINITE $W$ -ALGEBRA FOR $Q(n)$

- We fix the Cartan subalgebra  $\mathfrak{h} \subset \mathfrak{g} = Q(n) = \left\{ \left( \begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) \right\}$   
to be the set of matrices with diagonal  $A$  and  $B$ :

$$\mathfrak{h} = \text{Span}\{e_{i,i} \mid f_{i,i}\}, \quad i = 1, \dots, n$$

- $\mathfrak{n}^+$  (respectively,  $\mathfrak{n}^-$ ) is the nilpotent subalgebra consisting of matrices with strictly upper triangular (respectively, low triangular)  $A$  and  $B$ .
- The Lie superalgebra  $\mathfrak{g}$  has the triangular decomposition

$$\mathfrak{g} = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+$$

Set  $\mathfrak{b} = \mathfrak{n}^+ \oplus \mathfrak{h}$ .

- We define the finite  $W$ -algebra associated with the *regular* even nilpotent element  $\varphi$  in the coadjoint representation of  $Q(n)$ .
- Choose  $\varphi \in \mathfrak{g}^*$  such that

$$\varphi(f_{i,j}) = 0, \quad \varphi(e_{i,j}) = \delta_{i,j+1}.$$

**Remark.** Let  $E = \sum_{i=1}^{n-1} f_{i,i+1}$  (**odd**). Then  $\varphi(x) = (x|E)$  for  $x \in \mathfrak{g}$ .

$$E = \left( \begin{array}{cccccc|cccccc} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & \cdots & 0 \\ \hline 0 & 1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & \cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & \cdots & 0 \end{array} \right)$$

$\varphi$  is *regular* nilpotent  $\iff E$  has a *single* Jordan block

Let  $I_\varphi$  be the left ideal in  $U(\mathfrak{g})$  generated by  $x - \varphi(x)$  for all  $x \in \mathfrak{n}^-$ , and  $\pi : U(\mathfrak{g}) \rightarrow U(\mathfrak{g})/I_\varphi$  be the natural projection.

**Definition.** *The finite  $W$ -algebra associated with  $\varphi$  is*

$$W^n := \{\pi(y) \in U(\mathfrak{g})/I_\varphi \mid \text{ad}(x)y \in I_\varphi \text{ for all } x \in \mathfrak{n}^-\}.$$

$$\pi(y_1)\pi(y_2) = \pi(y_1y_2)$$

- We identify  $U(\mathfrak{g})/I_\varphi$  with  $U(\mathfrak{b})$ , then  $W^n$  is a subalgebra of  $U(\mathfrak{b})$ .

**Definition.** The *Harish-Chandra homomorphism* is the natural projection

$$\vartheta : U(\mathfrak{b}) \rightarrow U(\mathfrak{h})$$

with the kernel  $\mathfrak{n}^+U(\mathfrak{b})$ .

**Proposition 1.** (P.--S., Adv. Math., 2016) The restriction

$$\vartheta : W^n \longrightarrow U(\mathfrak{h})$$

is injective.

**We consider  $W^n$  as a subalgebra of  $U(\mathfrak{h})$ .**

## 5. $W^n$ IS A QUOTIENT OF $YQ(1)$

Define  $\Delta_n : YQ(1) \longrightarrow YQ(1)^{\otimes n}$  by

$$\Delta_n := \Delta_{n-1,n} \circ \cdots \circ \Delta_{2,3} \circ \Delta.$$

Let  $\varphi_n : YQ(1) \rightarrow U(Q(1))^{\otimes n} \simeq U(\mathfrak{h})$  be

$$\varphi_n := ev^{\otimes n} \circ \Delta_n.$$

**Proposition 2.** (P.-S., J. Math. Phys. 2017)

The map  $\varphi_n$  is a surjective homomorphism from  $YQ(1)$  onto  $W^n$ , realized as a subalgebra of  $U(\mathfrak{h})$ :

$$\varphi_n(YQ(1)) = \vartheta(W^n) \simeq W^n.$$

## 6. THE STRUCTURE OF $U(\mathfrak{h})$

- The Cartan subalgebra of  $\mathfrak{g} = Q(n)$  is

$$\mathfrak{h} = \text{Span}\{e_{i,i} \mid f_{i,i}\}.$$

$$[f_{i,i}, f_{j,j}] = 0 \text{ if } i \neq j, [f_{i,i}, f_{i,i}] = 2e_{i,i}.$$

Set  $\xi_i = (-1)^{i+1} f_{i,i}$ ,  $x_i = \xi_i^2 = e_{i,i}$ . Then

- $U(\mathfrak{h}) = \mathbb{C}[\xi_1, \dots, \xi_n] / ((\xi_i \xi_j + \xi_j \xi_i)_{i < j \leq n})$ .
- The center of  $U(\mathfrak{h})$  coincides with  $\mathbb{C}[x_1, \dots, x_n]$ .
- The center  $Z(U(\mathfrak{g}))$  was described by A.Sergeev.
- The center of  $W^n$  coincides with  $W^n \cap \mathbb{C}[x_1, \dots, x_n] = \vartheta(Z(U(\mathfrak{g})))$ .  
(P.-S., Adv. Math., 2016)



## 7. THE STRUCTURE OF $W^n$

- We define the following set of generators of  $W^n$ :  
 $n$  **odd** generators  $\phi_k$  and  $n$  **even** generators  $z_k$ .

Set

$$\phi_0 = \sum_{i=1}^n \xi_i, \quad \phi_k = T^k(\phi_0), \quad k = 0, \dots, n-1.$$

where the matrix of  $T$  in the standard basis  $\xi_1, \dots, \xi_n$  has 0 on the diagonal and

$$t_{ij} = \begin{cases} x_j & \text{if } i < j, \\ -x_j & \text{if } i > j. \end{cases}$$

Even generators for *even*  $0 \leq k < n$  are given by

$$z_k := [\phi_0, \phi_k] \in \text{center of } W^n$$

Even generators for *odd*  $0 \leq k < n$  are given by

$$z_k = \left[ \sum_{i_1 \geq i_2 \geq \dots \geq i_{k+1}} (x_{i_1} + (-1)^k \xi_{i_1}) \dots (x_{i_k} - \xi_{i_k})(x_{i_{k+1}} + \xi_{i_{k+1}}) \right]_{\text{even}},$$

Then

$$[\phi_i, \phi_j] = \begin{cases} (-1)^i 2z_{i+j} & \text{if } i+j \text{ is even} \\ 0 & \text{if } i+j \text{ is odd} \end{cases}$$

- Elements  $z_0, \dots, z_{n-1}$  are algebraically independent in  $W^n$  and they commute with each other.

## 8. IRREDUCIBLE REPRESENTATIONS OF $W^n$

Now we give a classification of simple  $W^n$ -modules for  $Q(n)$ .

They are all finite-dimensional.

**Restriction from  $U(\mathfrak{h})$  to  $W^n$ .**

**Definition.** Let  $\mathbf{s} = (s_1, \dots, s_n) \in \mathbb{C}^n$ . We call  $\mathbf{s}$  *regular* if  $s_i \neq 0$  for all  $i \leq n$  and *typical* if  $s_i + s_j \neq 0$  for all  $i \neq j \leq n$ .

- All irreducible representations of  $U(\mathfrak{h})$  are enumerated by  $\mathbf{s} \in \mathbb{C}^n$  up to change of parity.

Let  $V$  be an irreducible representation, then every  $x_i$  acts by scalar  $s_i \text{Id}$ .

Let  $I_{\mathbf{s}}$  be the ideal in  $U(\mathfrak{h})$  generated by  $x_i - s_i$ .

Then the quotient algebra  $U(\mathfrak{h})/I_{\mathbf{s}}$  is isomorphic to the Clifford algebra  $C_{\mathbf{s}}$  associated with the quadratic form  $B_{\mathbf{s}}$ :

$$C_{\mathbf{s}} = \mathbb{C}[\xi_1, \dots, \xi_n] / ((\xi_i \xi_j + \xi_j \xi_i - 2\delta_{i,j} s_i)),$$

and  $V$  is a simple  $C_{\mathbf{s}}$ -module.

Let  $m$  be the number of non-zero coordinates of  $\mathbf{s}$ . Then

- $C_{\mathbf{s}}$  has **one** simple  $\mathbb{Z}_2$ -graded module  $V(\mathbf{s})$  for **odd**  $m$ , and **two** simple modules  $V(\mathbf{s})$  and  $\Pi V(\mathbf{s})$  for **even**  $m$ .

- The dimension of  $V(\mathbf{s})$  equals  $2^k$ , where  $k = \lceil m/2 \rceil$ .

- We denote by the same symbol  $V(\mathbf{s})$  the restriction to  $W^n$ .

**Proposition 3.** If  $\mathbf{s}$  is **typical**, then  $V(\mathbf{s})$  is a simple  $W^n$ -module.

## 9. SIMPLE $W^2$ -MODULES FOR $Q(2)$

- The generators of  $W^2$  are

**Even:**  $z_0 = x_1 + x_2, \quad z_1 = x_1x_2 - \xi_1\xi_2,$

**Odd:**  $\phi_0 = \xi_1 + \xi_2, \quad \phi_1 = x_2\xi_1 - x_1\xi_2.$

- $V(\mathbf{s})$  is simple as  $W^2$ -module if and only if  $s_1 \neq -s_2$ .
- If  $s_1 = -s_2 \neq 0$ , we have the following nonsplit exact sequence:

$$0 \rightarrow \Pi\Gamma_{-s_1^2+s_1} \rightarrow V(\mathbf{s}) \rightarrow \Gamma_{-s_1^2-s_1} \rightarrow 0,$$

where  $\Gamma_t$  is one-dimensional simple module on which  $\phi_0, \phi_1$  and  $z_0$  act by zero and  $z_1$  acts by the scalar  $t$ .

## 10. GENERAL CONSTRUCTION OF SIMPLE $W^n$ -MODULES

Let  $W^n$  be the finite  $W$ -algebra for  $Q(n)$ .

Let  $i + j = n$ . There is natural embedding of the Lie superalgebras:

$$Q(i) \oplus Q(j) \hookrightarrow Q(n).$$

This induces the isomorphism

$$U(\mathfrak{h}) \simeq U(\mathfrak{h}_i) \otimes U(\mathfrak{h}_j),$$

where  $\mathfrak{h}_r$  denotes the Cartan subalgebra of  $Q(r)$ .

**Lemma.** Let  $i + j = n$ . Then  $W^n$  is a subalgebra in the tensor product  $W^i \otimes W^j$ , where  $W^r \subset U(\mathfrak{h}_r)$  denotes the  $W$ -algebra for  $Q(r)$ .

**Corollary.** If  $i_1 + \cdots + i_p = n$ , then  $W^n$  is a subalgebra in  $W^{i_1} \otimes \cdots \otimes W^{i_p}$ .

Let  $n = r + 2p + q$ , where  $r, p, q \geq 0$ , and  $\mathbf{t} = (t_1, \dots, t_p) \in \mathbb{C}^p$ ,  $t_1, \dots, t_p \neq 0$ ,  $\lambda = (\lambda_1, \dots, \lambda_q) \in \mathbb{C}^q$ ,  $\lambda_1, \dots, \lambda_q \neq 0$ , such that  $\lambda_i + \lambda_j \neq 0$  for any  $1 \leq i \neq j \leq q$ .

We have an embedding

$$W^n \hookrightarrow W^r \otimes (W^2)^{\otimes p} \otimes W^q.$$

Set

$$S(\mathbf{t}, \lambda) := \mathbb{C} \boxtimes \Gamma_{t_1} \boxtimes \cdots \boxtimes \Gamma_{t_p} \boxtimes V(\lambda).$$

**Theorem 1.** (P.-S., J. Algebra, 2021)

- (a)  $S(\mathbf{t}, \lambda)$  is a simple  $W^n$ -module;
- (b) Every simple  $W^n$ -module is isomorphic to  $S(\mathbf{t}, \lambda)$  up to change of parity.

**Proposition 4.**

Two simple modules  $S(\mathbf{t}, \lambda)$  and  $S(\mathbf{t}', \lambda')$  are isomorphic if and only if  $\mathbf{t}' = \sigma(\mathbf{t})$  and  $\lambda' = \tau(\lambda)$  for some  $\sigma \in S_p$  and  $\tau \in S_q$ .

## 11. THE STRUCTURE OF THE SUPER YANGIAN OF $Q(1)$

- $YQ(1)$  has generators  $T_{1,1}^{(m)}$  (**even**) and  $T_{1,-1}^{(m)}$  (**odd**)

Let

$$\eta_0 = T_{1,-1}^{(1)}, \quad \eta_i = \left(-\frac{1}{2}\right)^i \text{ad}^i T_{1,1}^{(2)}(T_{1,-1}^{(1)}), \quad Z_{2i} = \frac{1}{2}[\eta_0, \eta_{2i}].$$

- The surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$  acts on generators by

$$\varphi_n(\eta_i) = \phi_i, \quad \varphi_n(Z_{2i}) = z_{2i}, \quad 0 \leq i \leq n-1.$$

**Lemma.**

- (1) The following analogue of the relations in  $W^n$  holds:

$$[\eta_i, \eta_j] = \begin{cases} (-1)^i 2Z_{i+j} & \text{if } i+j \text{ is even} \\ 0 & \text{if } i+j \text{ is odd} \end{cases}.$$

- (2) The elements  $\{Z_{2i} \mid i \in \mathbb{N}\}$  are algebraically independent generators of the center of  $YQ(1)$ .
- (3) The elements  $\eta_0$  and  $\{T_{1,1}^{(2i)} \mid i \in \mathbb{N}\}$  generate  $YQ(1)$ .



## 12. REPRESENTATIONS OF THE SUPER YANGIAN OF $Q(1)$

- Using the surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$  we equip  $V(\mathbf{s})$  with a  $YQ(1)$ -module structure.
- Let  $M$  be a simple  $YQ(1)$ -module. Then  $M$  admits a central character  $\chi$ .

Set  $\chi_{2i} = \chi(Z_{2i})$  and consider the generating function

$$\chi(u) = \sum_{i=0}^{\infty} \chi_{2i} u^{-2i-1}.$$

**Proposition 5.** Let  $M$  be a finite-dimensional simple  $YQ(1)$ -module admitting central character  $\chi$ . Then  $\chi(u)$  is a rational function of the form

$$\frac{a_0 u^{-1} + \cdots + a_{q-1} u^{-2q+1}}{1 + c_1 u^{-2} + \cdots + c_q u^{-2q}}.$$

**Proposition 6.** For any rational  $\chi(u)$  there exist  $n$  and a regular typical  $\mathbf{s} = (s_1, s_2, \dots, s_n)$  such that  $V(\mathbf{s})$  admits central character  $\chi$ .  $V(\mathbf{s})$  is a simple  $YQ(1)$ -module.

**Lemma.**  $[T_{1,1}^{(2k)}, T_{1,1}^{(2l)}] = 0$ .

**Definition.**

Let  $\mathbf{A}$  be the commutative subalgebra in  $YQ(1)$  generated by  $T_{1,1}^{(2k)}$  for  $k \geq 0$ .

**Proposition 7.**

$YQ(1)/$  ideal generated by odd elements  $\simeq \mathbf{A}$ .

Hence  $\mathbf{A}$  is a commutative cocommutative Hopf algebra with comultiplication

$$\Delta T_{1,1}(u^{-2}) = T_{1,1}(u^{-2}) \otimes T_{1,1}(u^{-2}),$$

where  $T_{1,1}(u^{-2}) = \sum T_{1,1}^{(2k)} u^{-2k}$ .

Let  $f(u) = 1 + \sum_{k>0} f_{2k}u^{-2k}$ . Let  $\Gamma_f$  be the one-dimensional  $\mathbf{A}$ -module, where the action of  $T_{1,1}(u^{-2})$  is given by the generating function  $f(u)$ .

**Lemma.** The isomorphism classes of one-dimensional  $YQ(1)$ -modules are in bijection with the set  $\{\Gamma_f\}$ , and

$$\Gamma_f \otimes \Gamma_g \simeq \Gamma_{fg}.$$

**Theorem 2.** (P.-S., J. Algebra 2021).

- (1) Any simple finite-dimensional  $YQ(1)$ -module is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_f$  or  $\Pi V(\mathbf{s}) \otimes \Gamma_f$  for some regular typical  $\mathbf{s}$  and  $f(u) = 1 + \sum_{k>0} f_{2k} u^{-2k}$ .
- (2)  $V(\mathbf{s}) \otimes \Gamma_f$  and  $V(\mathbf{s}') \otimes \Gamma_g$  are isomorphic up to change of parity if and only if  $\mathbf{s}'$  is obtained from  $\mathbf{s}$  by permutation of coordinates and  $f(u) = g(u)$ .

### 13. THE RELATION BETWEEN $W^n$ -MODULES AND $YQ(1)$ -MODULES

The following diagram commutes:

$$\begin{array}{ccc}
 YQ(1) & \xrightarrow{\Delta} & YQ(1) \otimes YQ(1) \\
 \varphi_{m+n} \downarrow & & \varphi_m \otimes \varphi_n \downarrow \\
 W^{m+n} & \longrightarrow & W^m \otimes W^n
 \end{array}$$

**Proposition 8.** The simple  $YQ(1)$ -module  $V(\mathbf{s}) \otimes \Gamma_f$  is lifted from some  $W^{m+n}$ -module if and only if  $f \in \mathbb{C}[u^{-2}]$ . The smallest  $m$  is equal to the degree of the polynomial  $f$ .

*Proof.* Note that  $m = 2p$  is even.  $S(t_1, \dots, t_p, \lambda) \simeq V(\lambda) \otimes \Gamma_f$  where

$$f = \prod_{i=1}^p (1 + t_i u^{-2}).$$

**Remark.** Not all irreducible finite-dimensional representations of the Yangian  $YQ(1)$  are obtained by lift from those of  $W$ -algebras and the classification is not a straightforward consequence of the classification for  $W$ -algebras.

## 14. THE CATEGORY $YQ(1)\text{-mod}$

Let  $YQ(1)\text{-mod}$  be the category of finite-dimensional  $YQ(1)$ -modules, and  $(YQ(1))^\chi\text{-mod}$  be the full subcategory of modules admitting generalized central character  $\chi$ .

- What are the blocks in  $(YQ(1))^\chi\text{-mod}$ ?

If there is a non-split short exact sequence  $0 \longrightarrow M_i \longrightarrow M \longrightarrow M_j \longrightarrow 0$  with  $\{i, j\} = \{1, 2\}$ , then  $M_1$  and  $M_2$  belong to the same block, and we say that they are *linked*.

## 15. THE SUBCATEGORY $(YQ(1))^{\chi=0}\text{-mod}$

- The simple modules in the subcategory  $(YQ(1))^{\chi=0}\text{-mod}$  are exactly the 1-dimensional modules  $\Gamma_f$  up to change of parity.

Let  $\Gamma_f$  and  $\Gamma_g$  be two  $YQ(1)$ -modules, where

$$f(u) = \sum_{k \geq 0} a_{2k} u^{-2k}, \quad g(u) = \sum_{k \geq 0} b_{2k} u^{-2k}, \quad a_0 = b_0 = 1.$$

Let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ .

**Theorem 3.**  $\text{Ext}^1(\Pi(\Gamma_g), \Gamma_f) \neq 0$  if and only if  $x_1$  is an arbitrary complex number and  $x_k$  for  $k > 1$  satisfies the recurrence relation

$$x_{k+1} = (x_1 x_k - x_k + a_{2k}) x_1$$

**Conjecture.** Let  $S$  be a simple finite-dimensional  $YQ(1)$ -module.

Let  $n \geq 1$ ,  $\mathbf{s} = (s_1, s_2, \dots, s_n)$  be regular typical and  $f(u)$  and  $g(u)$  be given by

$$f(u) = \sum_{k \geq 0} a_{2k} u^{-2k}, \quad g(u) = \sum_{k \geq 0} b_{2k} u^{-2k}, \quad a_0 = b_0 = 1, \quad x_k = \frac{1}{2}(a_{2k} - b_{2k}).$$

Then

$$\text{Ext}^1(S, V(\mathbf{s}) \otimes \Gamma_f) \neq 0,$$

if and only if  $S \simeq V(\mathbf{s}) \otimes \Pi(\Gamma_g)$ , where  $x_k$  satisfies the recurrence relation

$$x_{k+1} = (x_1 x_k - x_k + a_{2k}) x_1.$$



**Remark.** The short exact sequences

$$0 \longrightarrow \Gamma_f \longrightarrow \mathbb{C}^{1|1} \longrightarrow \Pi(\Gamma_g) \longrightarrow 0$$

is non-split.

If  $n \geq 2$ , then the short exact sequence

$$0 \longrightarrow V(s) \otimes \Gamma_f \longrightarrow V(s) \otimes \mathbb{C}^{1|1} \longrightarrow V(s) \otimes \Pi(\Gamma_g) \longrightarrow 0$$

is non-split.

If  $n = 1$ , then it is non-split if and only if  $x_1 \neq s_1$ .

## 16. THE CATEGORY $(W^n)\text{-mod}$

Let  $W^n\text{-mod}$  be the category of finite-dimensional  $W^n$ -modules, and  $(W^n)^\chi\text{-mod}$  be the full subcategory of modules admitting generalized central character  $\chi$ .

Recall that simple  $W^n$ -modules are  $S(\mathbf{t}; \lambda_1, \dots, \lambda_q)$ .

If  $q = 0$ , we use the notation  $S(\mathbf{t})$ .

Simple modules in the subcategory  $(W^n)^{\chi=0}\text{-mod}$  are exactly the 1-dimensional modules  $S(\mathbf{t})$  up to change of parity.

## 17. THE SUBCATEGORY $(W^n)^{x=0}\text{-mod}$

Let  $\sigma_k$  be the  $k$ -th elementary symmetric polynomial.

**Theorem 4.** Fix  $\mathbf{t} = (t_1, \dots, t_p)$  and  $\mathbf{t}' = (t'_1, \dots, t'_q)$ , where  $p, q \leq \frac{n}{2}$ .

Consider the  $W^n$ -modules  $S(\mathbf{t})$  and  $S(\mathbf{t}')$ .

Define  $a_{2k} = \sigma_k(t_1, \dots, t_p)$  for  $k = 1, \dots, p$ ,  $a_{2k} = 0$  for  $k > p$ .

Similarly, define  $b_{2k} = \sigma_k(t'_1, \dots, t'_q)$  for  $k = 1, \dots, q$ ,  $b_{2k} = 0$  for  $k > q$ .

Let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ .

(a) If  $S(\mathbf{t})$  is a nontrivial  $W^n$ -module, then  $\text{Ext}^1(\Pi(S(\mathbf{t}')), S(\mathbf{t})) \neq 0$  if and only if  $x_1 \neq 0$  and  $x_k$  satisfy the recurrence relation

$$x_{k+1} = (x_1 x_k - x_k + a_{2k}) x_1.$$

or  $S(\mathbf{t}')$  is isomorphic to  $S(\mathbf{t})$  and  $n > 2p$ .

(b) If  $S(\mathbf{t}) = \mathbb{C}^{1|0}$  is the trivial  $W^n$ -module, then  $\text{Ext}^1(\Pi(S(\mathbf{t}')), S(\mathbf{t})) \neq 0$  if and only if  $S(\mathbf{t}') = \mathbb{C}^{1|0}$  or  $\mathbf{t}' = (t'_1)$  with  $t'_1 = -2$ .

## 18. BLOCKS IN THE CATEGORY $W^2\text{-mod}$

- Every  $\mathbf{s} = (s_1, \dots, s_n)$  defines the central character  $\chi_{\mathbf{s}} : Z^n \longrightarrow \mathbb{C}$ .

### Theorem 5.

- (1) Each simple  $W^2$ -module  $V(s_1, s_2)$  for  $s_1 \neq -s_2, s_1, s_2 \neq 0$  forms a block in  $(W^2)^{\chi_{\mathbf{s}}}\text{-mod}$ .
- (2) Each simple  $W^2$ -module  $V(s, 0)$  for  $s \neq 0$  forms a block in  $(W^2)^{\chi_{\mathbf{s}}}\text{-mod}$ .
- (3) The blocks in the subcategory  $(W^2)^{\chi=0}\text{-mod}$  are described as follows.

Let  $a \in \mathbb{C}$ . Define

$$a_n = a - n^2 + n\sqrt{1 - 4a} \text{ for } n = 0, \pm 1, \pm 2, \dots$$

Then  $\Gamma_a$  lies in the block formed by  $\Gamma_{a_n}$  if  $n$  is even and  $\Pi\Gamma_{a_n}$ , if  $n$  is odd.  $\Pi\Gamma_a$  lies in the block formed by  $\Pi\Gamma_{a_n}$  if  $n$  is even and  $\Gamma_{a_n}$ , if  $n$  is odd.

**Example.** Let  $a = 0$ , then  $a_n = n(1 - n)$  and  $\Gamma_0$  lies in the block

$$\dots, \Gamma_{-30}, \Pi\Gamma_{-20}, \Gamma_{-12}, \Pi\Gamma_{-6}, \Gamma_{-2}, \Pi\Gamma_0, \Gamma_0, \Pi\Gamma_{-2}, \Gamma_{-6}, \Pi\Gamma_{-12}, \Gamma_{-20}, \Pi\Gamma_{-30}, \dots$$

## 19. ABOUT NON-REGULAR CASE

**Theorem 5.** (P.-S., J. Math. Phys. 2017).

Let  $W^n$  be the finite  $W$ -algebra for  $Q(n)$  associated with the *non-regular* even nilpotent coadjoint orbit in the case when the corresponding nilpotent element has Jordan blocks each of size  $l$ . Then  $W^n$  is isomorphic to the image of  $YQ(\frac{n}{l})$  under the homomorphism

$$ev^{\otimes l} \circ \Delta_l : YQ\left(\frac{n}{l}\right) \longrightarrow U\left(Q\left(\frac{n}{l}\right)\right)^{\otimes l}$$

The *regular* case is  $l = n$ .

## 20. OPEN PROBLEMS

- Describe the structure of finite  $W$ -algebra for  $Q(n)$  associated with an *arbitrary* nilpotent  $\varphi$ .
- Classify the simple finite-dimensional modules over the Yangian for  $Q(n)$  for  $n > 1$ .

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