



SOURCE MATERIAL ON DECENTRALISATION AND IMPROVED SERVICE DELIVERY FOR THE POOR

ANNEX 6

List of Literature and Relevant Links

November 2010

(items with Bold text are the most relevant)

Ahmad Junaid and Shantayanan Devanranja, 2005: "Decentralization and Service Delivery", World Bank Policy Resource Working Paper No. 2603, the World Bank, (Ahmad, Shantayanan, et al, May 2005)

Alassane, Ndir and Steffensen, Jesper et al: "Fiscal Decentralisation in Relation with Infrastructure and Service Delivery", NALAD, - produced for Danida, USAID and the World Bank, Final Report, 1999.

Bahl, Roy W and Johannes Linn, 1992: "*Urban Public Finance in Developing Countries*", New York, Oxford University Press (Bahl & Linn, 1992)

Bahl, Roy W, 1999: Bahl, Roy (1999): "Implementation rules for fiscal decentralization", International Studies Program Working Paper 99-1, Georgia State University, Atlanta, Georgia (Bahl 1999)

Bahl, Roy and Schroeder (1983): "*Intergovernmental Fiscal Relations*" in *Local Government Finance in the Third World: A Case Study of the Philippines*, ed. Bahl and B. Millier, New York, Praeger.

Bailey. Stephen J: "*Local Government Economics- Principles and Practise*", Macmillan, 1999

Bird, Richard: "*Rationales and forms of Decentralisation*". Paper presented at the Rotman School of Management, University of Toronto, February 23, 2000

Bird, Richard and Smart, Michael: "*Intergovernmental Fiscal Transfers: Some Lessons from International Experience, Paper Prepared for Symposium on Intergovernmental Transfers in Asian Countries, Issues and Practises, Asian Tax and Public Policy Program, Hitosubashi University, Tokyo, Japan, February 2001*

Bird, Richard M and Wong, Christine C.P.; GSU International Studies Programme: "*China's Fiscal System –A Work in Progress*", Working Paper 05-20, November 2005

Boex, Jamie and Martinez-Vazquez, Jorge (2004): "*Developing the Institutional Framework for Intergovernmental Fiscal Relations in Decentralizing LDTCS*", Georgia State University, International Studies Program, Working Paper 04-02, April 2004

Boex, Jamie: "*Moving Forward with the Development of A National Fiscal Decentralisation Policy Framework in Cambodia*", December 2008

Bradford, David F and Oates, Wallace E: "*The Analysis of Revenue Sharing in a New Approach of Collective Fiscal Decisions*", *Quarterly Journal of Economics*, Volume 85, Issue 3, August 1971

Broadway, Robin and Shah, Anwar: "Fiscal Federalism: Principles and practices of multiorder governance", Cambridge University Press, 2009 (Broadway and Shah, 2009)

Brooks, Brumby, Mayes and Steffensen: *"Public Financial Management Reforms JBSO Partners' Observations drawing from PFM Mission, Status at November 27"*, 2008 with annexes (Brooks, Brumby, Mayes and Steffensen, 2008)

CIDA Project 400/1878: *"Ghana Fiscal Decentralisation Project, Design Report, September 2002 and Ghana Fiscal Decentralisation Road Map Report"*, September 2002

Commonwealth: *The Aberdeen Agenda: Commonwealth Principles on Good Practice for Local Democracy and Good Governance*

Committee of the Regions, European Union: *"Regional and Local Government In the European Union – Responsibilities and Resources, CDR Studies E-1/2001"*, Institute of Local Government Studies, Denmark, 2001

Council of Europe: *"Limitations of local taxation, financial equalisation and methods for calculating general grants"*, *Local and regional authorities in Europe, No. 65, by the Steering Committee on Local and Regional Democracy (CDLR)"*, prepared in collaboration of Mr. Jørgen Lotz, Council of Europe, 1999

Council of Europe: *"Methods for Estimating Local Authorities Spending Needs and Methods for Estimating Revenue, Local and regional authorities in Europe, No. 74 Edited by the Steering Committee on Local and Regional Democracy (CDLR)"*, with collaboration of Professor Jens Blom-Hansen, 2001, Council of Europe.

Danida (Per Tidemand and Mogens Blom): *"Danida Good Practice Paper – Decentralisation and Improved Service Delivery for the Poor"*, Working Draft prepared for the DCCD Course on Public Sector Reforms, April 2006 (Danida 2006)

Danish Ministry of the Interior: *"Municipalities and Counties in Denmark- Tasks and Finance"*

Dege & NCG: "Survey on Support to Local Governance and Decentralisation", for the Informal Working Group on Local Governance and Decentralisation, Final Report 2006 (Dege & NCG, 2006)

Dege/NCG/ETC-EA/Mentor, 2007: "Local Level Service Delivery, Decentralisation and Governance – A Comparative Study of Uganda, Kenya and Tanzania Education, Health and Agriculture Sectors " for JICA, Synthesis Report, Final Report (Dege/NCG/ETC-EA/Mentor, February 2007)

DEGE Consult, NCG et al, 2008: *"Midterm Review of the Local Government Support Project (LGSP), Component 1&3"*, Final Report, (Tanzania), February 20, 2008 (Dege et al, 2008)

Ebel, D. Robert and Yilmaz, Sendar: "On the Measurement and Impact of Fiscal Decentralization", Policy Research Working Paper 2809, the World Bank, World Bank Institute, Economy Policy and Poverty Reduction Division, March 2002

ETC East Africa Ltd. (Gerhard van't Land and Emmanuel Ssewankambo), Ex-post Evaluation of Irish Aid to Kilosa District, Tanzania Lessons from a long-term 'partnership', December 2004 (ETC – EA, 2004)

Ferazzi, Gabriele: *"A Guide to Facilitating Sectoral Decentralisation"*, Ministry of Local Government Rural Development and Environment, GTZ – Support for Decentralization Reform Project, Accra, June 2007

Ferazzi, Gabriele: *"Functional Assignment in Multi-Level Government"* – A Technical Paper, Volume 1, Conceptual Foundation of Functional Assignments, GTZ, October 2009

Fiscal Decentralisation in Uganda: *"The Way Forward"* –, A study commissioned by the GOU and the Donor Sub-Group on Decentralisation, Draft Report December 2000

Fiscal Decentralisation in Uganda- The Way Forward – Terms of Reference, October 2000, *A study commissioned by the GOU and the Donor Sub-Group on Decentralisation*

Fiscal Decentralisation Task Force: Local Government Reform Programme in Tanzania, (Internal Working Documents, 2001)

Fiscal Decentralisation in Uganda: Fiscal Decentralisation Working Group: *"Draft Strategy Paper"*, March 2002"

Gardener, Janet et al: *"DFDP – Midterm Evaluation"*, December 2003, Nepal, UNCDF (Gardener et al, 2003, A)

Gardener, Janet et al: *"Sirajganj Local Governance Development Fund Project (BGD/97/CO1"*, Final Report, Midterm Review, (Gardener et al, 2003, (B))

GHK: *"Local Governance and Service Delivery to the Poor"*, Bangladesh Case Study - Paper prepared for the Manila Workshop, Local Government and Pro-Poor Service Delivery 9th – 13th February 2004, jointly sponsored by Asian Development Bank, Asian Development Bank Institute and UNCDF (GHK, 2004).

Government of the People's Republic of Bangladesh and UNCDF/UNDP: *"Local Governance Support Project – Learning and Innovation Component (LGSP-LIC)"*, Final Document, 2007

Government of Nepal: *"Manual for Assessment of Minimum Conditions and Performance Measures (MCs) and (PMs) for DFDP Programme Districts in Nepal"*, December 2006

Government of Nepal: *"Manual for Assessment of Minimum Conditions and Performance Measures (MCs) and (PMs) for DDCs in Nepal"*, March 2007

Government of Nepal (GON), Local Bodies Fiscal Commission (LBFC), Srimahal, Lalitpur: "Assessment of Minimum Conditions and Performance Measures of DDCs for FY 2063/64", National Synthesis Report of 55 DDCs, January 2008

Government of Nepal, Ministry of Local Development, Local Bodies Fiscal Commission: "Manual for Assessment of Minimum Conditions and Performance Measures (MCs) and (PMs) for DDCs in Nepal", May 2008

Government of the Solomon Islands: National Provincial Governance Strengthening Programme (PGSP), Operational Manual for the Provincial Capacity Development Fund (PCDF), 2008

Government of Uganda: PEAP, Vol. 1 and III (draft of May 2001)

Government of Uganda: "Poverty Eradication Action Plan – Volume III, Building Partnership to implement the PEAP, Ministry of Finance, Planning and Economic Development", December 2001

Georgia State University and UNCDF (2001): "The Design and Implementation of Intergovernmental Fiscal Transfers", Andrew Young School of Policy Studies, 2001

Georgia State University: *Training Course Summer 2002 Materials.*

Georgia State University (GSU), 2002: "Technical Note - *Introducing formula-based block grants as an alternative to national minimum service standards*", developed for PO-RALG in Tanzania (draft)

Georgia State University GSU. "India Fiscal Condition of States, International Experiences and Options for Reform", 2005.

GTZ: "Functional Assignment in Multi-Level Government – A Technical Paper, Volume 1 – Conceptual Foundation of Functional Assignment", Deutsche Gesellschaft Fur Technische Zusammenarbeit (GTZ), Sector Network Asia, October 2009

Joint Government of Ghana and Development Partner Appraisal Mission District Development Fund /Functional and Organizational Assessment Tool, March 3 – 14, 2008, Aide Mémoire, April 2008, including Joint GoG-DP assessment and field trips to South Tongu district, March 2008 (Joint GoG-DP Assessment, March 2008)

Hoffman D, Barak and Gibson, Clark C: "Political Accountability and Public Service Delivery in Africa – Evidence from Tanzania and Zambia", Department of Political Science, University of California, San Diego, 2007.

HSU. S. Philip, 2004: "Deconstructing Fiscal Decentralisation in China: Fiscal Incentives versus local autonomy in policy implementation": *Journal of Contemporary China*: 13 (40) 567-599, 2004 (HSU. S. Philip, 2004)

IDD et al: "Joint Evaluation of General Budget Support, 1994-2004.

IMF: *"Local Service Provision in Selected OECD Countries: Do Decentralized Operations Work Better?"*, IMF Working Paper, Fiscal Affairs Department, March 2008

International Development Department (IDD), the University of Birmingham, Mokoro, NCG et al: *"JOINT EVALUATION OF GENERAL BUDGET SUPPORT 1994–2004 - Burkina Faso, Malawi, Mozambique, Nicaragua, Rwanda, Uganda, Vietnam"*, Country Report from Uganda, Main Report, 2006 (IDD, Mokoro, NCG et al, 2006)

Kai Kaiser: *"International Performance Grants – A Synthesis of Issues and Intergovernmental Experiences for the 13th India IFC"*, a Revised Draft, July 31st, 2009

Kelly, Roy: *"Intergovernmental Revenue Allocation Theory and Practice – An Application to Nepal"*, Asian Journal of Public Administration Vol. 21, No. 1, June 1999

Kelly, Roy: Presentation Slides at the Duke University's Training Course on Fiscal Decentralisation and Public Financial Management, August 2009

K2, 2005: *"Technical Assessment Prior to the Midterm Review"*, (Uganda) Final Mission Report (K 2, 2005)

Land, Gerhard van't and Ssewankambo Emmanuel, (2002), *Programme Review Ireland Aid District Support in Uganda Decentralisation, Local Governments and Donor-coordination*, (June 2002)

LDTA: *"Annual Assessment of Minimum Conditions and Performance Measure of DDCs FY 2059/60"*, National Synthesis Report, January 2005

LDTA, 2007: *"Assessment of the Minimum Conditions and Performance Measures for of DDCs for 2063/64"*, National Synthesis Report, LDTA, June 2007 (LDTA, 2007)

Local governance & Decentralisation: *"To Enhance Aid Effectiveness – General Guiding Principles for Enhancing Alignment and Harmonisation on Local Governance and Decentralisation"*.

Local Government Denmark: *"Study of the Policy Options for Finances and Financial Management System of the Local Authorities"*, Yemen, Draft August 2005, DLDSP, UNDP.

Local Government Finance Commission: *"Financial Decentralisation in Uganda"*, Commission Report No. 1 February 1995-1996, published 1997

Local Government Finance Commission: *"Allocation of Conditional Grants in the five Priority Programme Areas - Equalisation Grant Study"*, December 1998

Local Government Finance Commission, February 1999: *"International Experiences in Local Government Budget and Grants Management System – Experiences from Denmark, Latvia, Lithuania and Malaysia, Compared with Ugandan Practise"*, 1999.

Local Government Finance Commission: *"Introduction of Equalisation Grants in Uganda, Analysis and Recommendations"*, 1999

Local Government Finance Commission: *"Equalisation Grants for Urban Local Governments – Analysis and Recommendations, Commission Recommendation No. 3"*, April 2000 (Draft)

Local Government Finance Commission: *"Revenue Sharing Study: Sharing of Expenditure Responsibilities and Revenue Assignments (Centre – Local Governments)"*, 2000

Local Government Finance Commission: *"Draft Final Report on Local Revenue Enhancement Study in Uganda"*, 2000

Local Government Finance Commission: *"Equalisation Grant for Urban Local Governments: Analysis and Recommendations. Commission Recommendations No. 3"*, 2000

Local Government Finance Commission: *"Terms of References for the second phase of the Revenue Sharing Study – Relationship between levels of local governments"*, May 2001

Local Government Finance Commission: *"Medium Term Work-Plan (2001-2004) – Strengthening the Intergovernmental Fiscal Relations in Uganda"*, June 2001

Local Government Finance Commission: *"Figures on Local Government Revenues and demography"*, tables received October 2001

LOCAL GOVERNMENT FINANCE COMMISSION: *"REVENUE SHARING STUDY PHASE II"*, JUNE 2002

Lotz, Jørgen: *"Local Government Organization and Finance: Nordic Countries"*, in Anwar Shah (ed): *Local Governance in Industrial Countries*, the World Bank, 2006. (Shah, 2006, C)

Ma, Jun: *Intergovernmental Fiscal Transfers : "A Comparison of Nine Countries (cases of United States, Canada, the United Kingdom, Australia, Germany, Japan, Korea, India and Indonesia)"*, prepared for the Macroeconomic Management and Policy Division, Economic Development Institute, The World Bank, 1997

Mau, Niels Jørgen (2007): *"Expenditure needs equalization – reasoning and organization of work: the Danish case"*, Danish Ministry of Interior and Health, August 2007

McLure, Jr Charles et al: *"The Tax Assignment Problem – Conceptual and Administrative Considerations in Achieving Subnational Fiscal Authonomy"*, June 2006

Mentor Consult Ltd. and Mokoro Limited : "Local Government Expenditure and Capacity Analysis – Annex 3 and 4", 2001.

McGill, Roland (ed), 2006: *"Achieving Results – Performance Budgeting in the Least Developed Countries"*, UNCDF (McGill, 2006)

Ministry of Finance and National Planning (Zambia): Concept Paper on Establishing an Equitable System of Intergovernmental Fiscal Relations (FRs) to Implement Zambia's National Decentralisation Policy (NDP), May 2009

Ministry of Local Government in Kenya: *"Annual LATF Reports from the Ministry of Local Government, Republic of Kenya"*, 2004, 2005 and 2006

Ministry of Local Government, Rural Development and Environment: *"FINAL DRAFT OPERATIONAL MANUAL FOR THE IMPLEMENTATION AND ADMINISTRATION OF THE DISTRICT DEVELOPMENT FUND"*, (Ghana), December 2007

Ministry of Local Government, 2007: *"Local Government Development Project II (LGDP-II) – Technical and Value for the Money Audit of LGDP II Supported Districts"* – Final Synthesis Report, December 2007 (MoLG 2007, B)

Ministry of Education and Sports: *"PAF, Universal Primary Education (UPE) Capitation Grant, Planning and Implementation for Districts and Urban Councils"*, July 2000

Ministry of Finance, Planning and Economic Development: *"Poverty Eradication Action Plan"*, March 2000

Ministry of Finance, Planning and Economic Development: *"Medium Term Budget Framework 2000/01 – 2000"*, Review and Outlook, prepared for the meeting of the consultative group for Uganda, March 2000

Ministry of Finance, Planning and Economic Development: *"Poverty Reduction Strategy Paper, Uganda's Poverty Eradication Action Plan, Summary and Main objectives"*, March 2000

Ministry of Finance, Planning and Economic Development: *"General guidelines for the Planning and Operation of Conditional Grants, Poverty Action Fund 2000-2001"*, April 2000

Ministry of Finance, Planning and Economic Development: *Key-note address on Medium Term Budget Strategy 2000/01 – 2000/03, by the Minister of MFPE, at the occasion of the Public Expenditure Review / Donor Budget Consultations on the Medium Term Budget Framework*, May 2000

Ministry of Finance, Planning and Economic Development: *"Poverty Action Fund: Annual Report July 1999 - June 2000"*, 2000

Ministry of Finance, Planning and Economic Development: *"Poverty Action Fund: Annual Report July 1998 - June 1999"*, 2000

Ministry of Finance, Planning and Economic Development: *"General Guidelines for the Planning and Operation of Conditional Grants – Providing Resources to Local Governments to Implement the Poverty Eradication Plan 2001-2002"*, May 2001

Ministry of Finance, Planning and Economic Development: *"Poverty Indicators in Uganda, Discussion Paper 4"*, June 2001

Ministry of Finance, Planning and Economic Development, EFMP II: " *Design of Training Programme for Financial Management for Central and Local Governments*", September 2001

Ministry of Finance, Planning and Economic Development: " *A New Formulae for Poverty Eradication ? Part 1: Sector Conditional Grants 2nd Consultative Draft*", November 2001.

MINISTRY OF FINANCE, PLANNING AND ECONOMIC DEVELOPMENT: " *UGANDA POVERTY REDUCTION STRATEGY PAPER, PROGRESS REPORT 2002 – SUMMARY OF THE BACKGROUND TO THE BUDGET 2001/02*"

Ministry of Health: " *Guidelines on the use of the use of Conditional Grants for lower level NGO Health units from the Poverty Action Fund*", June 1999

Ministry of Health: " *Guidelines on the use of the Primary Health Care (PHC) Conditional Grants to Districts*", September 1999

Ministry of Local Government: " *Financial Management Guide for Sub-counties and lower Level councils*", 1998

Ministry of Local Government: " *DDP, PMU, Decentralisation Secretariat, Monitoring and Evaluation Manual of Procedures*", March 1998

Ministry of Local Government: " *District Development Project Pilot: Capacity Building Assessment Report of the DDP Pilot Districts*", June 1998

Ministry of Local Government: " *Legislation Manual for Local Councils in Uganda*", May 1998

Ministry of Local Government: LGDP: " *Inaugural Assessment of Minimum Conditions for District/Municipal Local Governments - Synthesis Report* August 1999

Ministry of Local Government: " *Project Implementation Plan for the Local Government Development Programme (LGDP), phase-1*, October 1999

Ministry of Local Government, UNCDF: " *UNDP: District Development Project Pilot - Evaluation Review, Final Report*", December 1999

Ministry of Local Government: " *District Development Project Pilot: Assessment of the DDP Minimum Conditions and Performance Measures, Synthesis Report - June 2000 (Year 3)*

Ministry of Local Government: " *DDP-LGDP: Assessment manual of Minimum Conditions and Performance Measures for Local Governments*, September 2000

Ministry of Local Government: " *Summary Findings of the Minimum Condition Assessment, December 2000 – Local Government Development Programme*", 2000

Ministry of Local Government: " *Local Government Development Programme – Operations Manual for the LGs*", April 2001

Ministry of Local Government: *"Assessment of Minimum Conditions and Performance Measures for Local Governments"*, May 2001

Ministry of Local Government: *The Annual Local Government Assessment - Synthesis Report – Volume I*, May 2001

MINISTRY OF LOCAL GOVERNMENT: *ASSESSMENT MANUAL OF MINIMUM CONDITIONS AND PERFORMANCE MEASURES FOR LOCAL GOVERNMENTS*, MARCH 2002

Ministry of Local Government, Rural Development and Environment: *"OPERATIONAL MANUAL FOR THE IMPLEMENTATION AND ADMINISTRATION OF THE DISTRICT DEVELOPMENT FUND"*, (Ghana), 2008 and 2009 (revised)

Ministry of Local Government in Uganda: *"Assessment Manual of Assessment of Minimum Conditions and Performance Measures for Local Governments"*, December 2002

Ministry of Local Government in Uganda: *"Assessment Manual of Assessment of Minimum Conditions and Performance Measures for higher Local Governments"*, August 2005

Ministry of Local Government in Uganda: *"Assessment Manual of Assessment of Minimum Conditions and Performance Measures for Local Governments"*, July 2007

Ministry of Local Government in Uganda: *"Assessment of Minimum Conditions and Performance Measures for Local Governments"*, 2004

Ministry of Local Government in Uganda: *"Assessment of Minimum Conditions and Performance Measures for Local Governments"*, 2005

Ministry of Local Government in Uganda: *"Assessment of Minimum Conditions and Performance Measures for Local Governments"*, 2006

Ministry of Local Government in Uganda: *"Assessment of Minimum Conditions and Performance Measures for Local Governments"*, (2007, A)

Ministry of Local Government, 2007: *"Local Government Development Project II (LGDP-II) – Technical and Value for the Money Audit of LGDP II Supported Districts"* – Final Synthesis Report, December 2007 (MoLG 2007, B)

Ministry of Local Government, 2008: *Annual Assessment of Minimum Conditions and Performance Measures for Local Government, 2007*, Synthesis Report, February 2008.

Ministry of Local Development, LBFC: *"Manual for Assessment of Minimum Conditions and Performance Measures for DDCs in Nepal"*, 2008 (Assessment Manual, 2008).

Ministry of Public Service: *"Review of Staffing Levels and Restructuring of Local Governments, Progress Report"*, March 2002.

Ministry of Water, Lands and Environment: *"Planning and Operations Guidelines for District Water and Sanitation Development Conditional Grant"*, June 2000

Mochida, Nobuki: *"Local Government Organization and Finance: Japan"*, in Anwar Shah (ed): *"Local Governance in Industrial Countries, the World Bank, 2006 (Shah, 2006, C)*

Muhoro Ndungu and Tim Williamson: *Financing Poverty Reduction in Uganda – A Study Reviewing the Poverty Action Fund, Main Report + Annexes May 2002, Prepared for the Ministry of Finance, Planning and Economic Development, 2002*

Musgrave, Richard (1983): *"Public Finance, Now and Then, "* Finanzarchiv Neu Folge (Germany), N.F.41, No. 1,1983

National Association of Local Authorities in Denmark (NALAD) and Economic Policy Research Centre (EPRC) Uganda, by Obwona, Marius Steffensen, Jesper et al: *"Fiscal Decentralisation and Sub-national Government Finance in Relation to Infrastructure and Service Provision in Uganda (Main Report and Annexes)"*, March 2000

NCG/IDP, 2008: *"Preliminary Analytic and Design Work for the Development of a Performance Based Grant System of LGUs"*, Final Report, Volume I, January 2008 (NCG/IDP, 2008)

Nelson, Mark, 2006: *"Capacity Building Briefs- Sharing Lessons and Knowledge Learned"*, World Bank Institute (Nelson, 2006)

Oates, Wallece E: "Fiscal Federalism", New York, Harcourt Brace Jovanich, 1972

OECD: *"Country Report Latvia, Fiscal Design Across Levels of Government"*, Year 2000 Surveys, Working Group on Fiscal Design Across Levels of Government Central and Eastern European Countries, 2000.

OECD, 2004: DAC Evaluation Series – "Lessons Learned on Donor Support to Decentralisation and Local Governance", (OECD, DAC, 2004)

Olaa, O. Martin: *"Lessons from experiences in decentralising infrastructure and service delivery in rural areas"*, UNCDF, October 2003 (Olaa, 2003)

Olsen, Hans & Steffensen, Jesper et al: "Public Expenditure Review of Fiscal Decentralisation in Zambia", Final Report, April 2010.

Ouedraogo, H.M.G (2003): "Decentralisation in Francophone West Africa", p 97-103 in Public Administration and Development, Volume 21, No. 1, February 2003.

Parajuli, Umesh Nath and Sharma, Khem Raj: *"A Report on Comparative Assessment On Efficiency Of Infrastructure Service Delivery Under DFDP"*, Institute of Water and Human Resources Development (IWHRD) July 2006 (Parajuli & Sharma, 2006)

PFMIP: "*Provincial Financial Stock Take Report*", 2007

PMA: "*Uganda Study to Define Modalities for the Proposed PMA "Non-Sectoral Conditional Grant"*", Final Report April 2001 submitted to the PMA Steering Committee.

PMA (Plan for Modernisation of Agriculture): Tables for disbursement for FY 2000/01.

PMO-RALG, 2008: "*Independent Evaluation of Annual Assessments of Local Government Authorities (LGAs) under the Local Government Capital Development Grant (LGCDG) System*", Evaluation, Report, (Tanzania), (PMO-RALG, April 2008)

PO-RALG and Royal Netherlands Embassy: "*District Rural Development Programme (DRDP), Evaluation of Phase III (1997/8 – 2003)*" and "*Formulation of future Netherlands' support to Decentralization and Local Development in the 'ex-DRDP' districts*", Volume I: Evaluation Report October 2003 and Volume II; Formulation report, March 2004 (PO-RALG/RNE, 2004)

President's Office – Regional and Local Government: "*Key Documents on Fiscal Decentralisation in the Mainland Tanzania*", April 2004.

Prime Ministers Office- Regional Administration and Local Government: Synthesis Report FY 2009/10 – Annual Assessment of MC and PMs under the LGDG system for FY 2009/10 – National Synthesis Report, May 2009 (Prime Ministers Office, 2009).

Prud'Homme, Remy, 2003: "*Fiscal Decentralisation in Africa: A Framework for Considering Reform in Public Administration and Development*", the International Journal of Management Research and Practice, Volume 23, No. 1, 2003 p.24-26 (Prud'Homme, 2003)

PWC: *Local Government Grant Distribution – An International Comparative Study*, 2000.

Pyndt, Holger and Steffensen, Jesper, 2005: "World Bank Review of Selected Experiences with Donor Support to Decentralisation in East Africa", LGDK and NCG (Pyndt & Steffensen, 2005)

Romeo, Leonardo and Spyckerelle Luc: "*Commune Level Service Delivery*", ADB, ADBI and UNCDF, 2004.

Royal Government of Bhutan: "*Local Governance Support Programme – Joint Programme Document*", May 2008 (Royal Government of Bhutan, 2008)

Royal Netherlands Embassy: "*Mid-term evaluation of the technical assistance under the District Local Government Support Programme, Final report*, by Jaap Bijl and Judy Kamanyi, May 2002

Schaeffer, Michael- "*Performance Grant Agreements in Improving Local Governments Service Delivery, INFUD and SASIN, The World Bank, (not for Circulation)*

Schroeder, Larry and Andrews, Matt: "*Key Issues in Sectoral Decentralization*", A Summary Report prepared for the UNCDF symposium on Decentralization Local Governance in Africa, 2001.

Shah, Anwar: "*The Reform of Intergovernmental Fiscal Relations in Developing and Emerging Market Economies*", The World Bank, Policy and Research Series, 23, 1994

Shah, Anwar: "*A Fiscal Needs Approach to Equalization Transfers in a Decentralized Federation*", The World Bank, Policy Research Department, Public Economics Division, April 1994

Shah, Anwar, 2005: "*Fiscal Decentralisation and Fiscal Performance*", World Bank Policy Research Working Paper 3786, December 2005

Shah, Anwar, 2006: "*A Practitioner's Guide to Intergovernmental Fiscal Transfers*", Policy Research Working Paper, the World Bank, October 2006 (Shah, (A), October 2006)

Shah, Anwar, 2006: "*Local Governance in the Developing Countries*", World Bank, Washington D.C., (Shah, 2006, B)

Shah, Anwar, 2006: "*Local Governance in Industrialised countries*", World Bank, Washington D.C, (Shah, 2006, C)

Shah, Anwar, 2009: "*Sponsoring a Race to the Top: The Case for Results-Based Intergovernmental Finance for Merit Goods*", (a forthcoming note), Draft, September 23, 2009.

Shrestha, Manoj: "*Intergovernmental Fiscal Transfers in Nepal: An Assessment of the Existing System and Design for An Alternative*", May 2002

Shrestha, Manoj: "*An Overview of Intergovernmental Fiscal Relations in Nepal*", Working Paper 02-05, International Studies Programme, April 2002

Shotton, Roger (ed), 1999; UNDP: "*Taking Risk*" – Background Papers, UNCDF, (Shotton, 1999)

Shotton, Roger, 2004 (ed): Local Government Initiative - -"*Pro-Poor Infrastructure and Service Delivery in Rural Asia*", UNCDF, ADPI and ADB, Feb. 2004 (Shotton, 2004)

Shotton, Roger and Winter, Mike (eds), 2006: "*Delivering the Goods – Building Local Government Capacity to Achieve the Millennium Development Goals*", UNCDF, 2006 (Shotton and Winter 2006)

Smoke, Paul and Kim, Yun-Hwan : "*The Role and Challenges of Intergovernmental Fiscal Transfers in Asia*", Asian Development Bank, 2002

SNV: *"Improving Local Government Funds – Central Government Transfers and Local Government Revenue Proceedings from an Advocacy and Lobbying Workshop organised by the Districts of West Nile"*, 2002

Ssewankambo, Emmanuel: *"Incentive Based Allocation of Local Development Funds to Local Authorities: Experiences and lessons, UNDP/UNCDF Support to Decentralization Programme (SDP) – Mwanza Region Local Development Fund (LDF)"*, October – November 2002

Steffensen, Jesper: *"Annex on the System on Local Government Finance – Key Findings and Recommendations, Local Government System in Skt. Peterborg"*, NALAD Working Document, 2001

Steffensen, Jesper and Ssewankambo Emmanuel: *"Links Between the Local Government Development Programme (LGDP) and other Donor Supported Programmes in the Field of Fiscal Decentralisation"*, November 2001

Steffensen, Ssewankambo and Van't Land: *"Midterm Programme Review of the LGDP"*, February 2002.

Steffensen, Jesper: *Mission Report – "Technical Assistance on Fiscal Decentralisation and Devolution in Nepal"*, Final Report December 2002.

Stanley, Joyce et al, 2006: *"Final Evaluation Report of DFDP in Nepal"*, UNCDF (Stanley et al, 2006)

Steffensen, Jesper and Trollegaard, Svend, May 2000: "Fiscal Decentralisation and Sub-National Government Finance"- Synthesis Report, NALAD, the World Bank/ Danida/USAID (Steffensen & Trollegaard, 2000)

Steffensen, Jesper and Ssewankambo, Emmanuel: *"Links between the LGDP and Donor Supported Programmes in the field of Fiscal Decentralisation"*, Final Report November 2001 (Steffensen & Ssewankambo, 2001)

Steffensen, Jesper, Land, Gerhard van't and Ssewankambo, Emmanuel 2002: *"Programme Review of the Local Government Development Programme in Uganda"*, Final Main Report (Steffensen, Land & Ssewankambo, 2002)

Steffensen, Jesper and Tidemand, Per, 2004: "A Comparative Analysis of Decentralisation in Kenya, Tanzania and Uganda", Final Synthesis Report, August 2004, the World Bank (Steffensen & Tidemand, 2004)

Steffensen, Jesper and Larsen, Fredborg Larsen, Henrik, May 2005: *"Conceptual Basis for Performance Based Grants and Selected International Experiences, 2005"* (Steffensen and Fredborg Larsen, May 2005)

Steffensen, Jesper Dr. Soriano, Cecilia G, et al: *"Assessment of Non-IRA Transfers and Other funds for Devolved Services in the Philippines"*, October 2005

Steffensen, Jesper: (draft): "*Sector Budget Support in Practice, Desk Study: Support to Decentralisation through the Local Government Development Project in Uganda*", ODI & Mokoro, March 2009

Steffensen, Jesper and Devkota, K.L: "*Review and Refinement of the Fiscal Transfer Mechanism for the Local Bodies (DDCs and VDCs)*", Local Governance and Community Development Programme, September 2009

Steffensen, Jesper: "*Performance-Based Grants – International Experience and Lessons Learned*", (Forthcoming publication for UNCDF, 2010).

Steven, Mike, 2004: "*Institutional Incentive Issues in Public Financial Management Reform in Poor Countries*", produced for the World Bank (Steven, 2004)

The Constitution of the Republic of Uganda, 1997

Tanzi, Vito: "*Pitfalls on the Road to Fiscal Decentralization*", Working Papers, Economic Reform Project, Global Policy Program, Number 19, April 2001

Tidemand, Steffensen, Pyndt et al, 2003: Price Waterhouse Coopers (PWC): "*Design of Capital Grants Programme and Capacity Building Programmes for Local Governments*", Volumes I, II and III, December 2003 (Tidemand, Steffensen, Pyndt et al. (Tidemand, Steffensen, Pyndt et al, PWC, December 2003, Vols 1-3)

Tidemand, Per, Steffensen, Jesper, Ssewankambo, Emmanuel, Land, Gerhard van't et al in: "*Local Level Service Delivery, Decentralisation and Governance- A Comparative Study of Uganda, Kenya and Tanzania – Education, Health and Agriculture Sectors*", Dege in Cooperation with NCG, Mentor Consultant and ETC-EA for JICA, Synthesis Report, February 2007 (Tidemand, Steffensen, Ssewankambo and Land et al, 2007).

Tidemand, Per, Steffensen, Jesper, Ssewankambo, Emmanuel: "*Local Level Service Delivery Decentralisation and Governance – A Comparative Study of Uganda, Kenya and Tanzania*"- Uganda Case Report, 2007 (Tidemand, Steffensen and Ssewankambo, 2007)

Uganda Local Authorities Association (ULAA): "*Position Paper on the National Budget 1999/2000*", September 1999

Uganda Debt Network, 2000: "*Draft Report, Monitoring and Evaluation of Poverty Alleviation Fund*", 2000

Uganda Bureau of Statistics, 2007: "*Local Government Development Programme – Assessment of the Beneficiary Participation and Accountability under the Second Local Government Development Programme (LGDP-II) and the Baseline for the Local Government Management Service Delivery Program (LGMSDP)*", (UBOS, 2007)

UNCDF, 2007: "*Local Development Practices and Instruments in West Africa*", (UNCDF, 2007)

UNDP, 2005: "Fiscal Decentralisation and Poverty Reduction", UNDP, (UNDP November, 2005)

UNDP, UNICEF and UNCDF: *"Workbook: Diagnostic Tool Kit for Sectoral Decentralization"*

Williamson, Tim (2005) et al: *"Local Government Public Financial Management Assessment 2005"*, Draft Report 21st December 2005 (Williamson 2005)

Winkler, Roland R: *"The Design and Administration of Intergovernmental Transfers – Fiscal Decentralisation in Latin America"*, The World Bank, Discussion Paper, 1994

World Bank, October 28, 1999 : *"Project Appraisal Document for the Local Government Development Programme (LGDP)"*, 1999.

World Bank: *"Republic of Uganda- Local Government Development Program (Credit 3295 U) Implementation Review Mission"*, February 2001

World Bank: Republic of Uganda – Local Government Development Program – Implementation Review Mission, June 2001.

World Bank, Uganda: *"Country Financial Accountability Assessment"*, 2000

World Bank: *"Public Expenditure Reform Credit Aide Memoires"* (February, April Missions 2000 and February 2001 Mission)

World Bank (2003): *"Project Appraisal Document on the Proposed Development Credit in the amount of SDR 36.7 Million (USD 50.0 Million Equivalent) and a Development Grant in the amount of SDR 55.0 Million (USD 75.0 Million Equivalent) to the Republic of Uganda for the Second Local Government Development Project"*, May 2, 2003.

World Bank (2004): *"Implementation Completion Report on a credit in the amount of SDR 58.7 Million (USD 80.9 Million Equivalent) to the Republic of Uganda for the Local Government Development Program"*, November 23, 2004

World Bank: "Decentralization and Governance – Does Decentralization Improve Public Service Delivery?", Prem Notes, June 2001, Number 55

World Bank: "East Asia Decentralizes – Making Local Government Work", World Bank 2005

World Bank Operations Evaluation Department, 2005: OEC: "Capacity Building in Africa, Advance Copy", (World Bank OED, 2005)

World Bank: "East Asia Decentralizes – Making Local Government Work", Roland White and Paul Smoke (ed), 2006, Washington D.C.

World Bank, 2007: *"Project Appraisal Document: Mindanao Rural Development Project Phase 2"*, March 2007 (World Bank 2007)

The World Bank (2007 b): *"Project Appraisal documents (2007) to the coming Local Government Management and Service Delivery Project; World Bank: Project Information Document (PID) Appraisal Stage"*, 2007

World Bank Institute (2007), Mark Nelson: *"Capacity Development Briefs – A Market-Based Approach to Capacity Development: How Uganda's Local Governments are Breaking New Ground"*, June 2007, No. 22

World Bank (2007), China – Public Services for Building the New Socialist Countryside, December 2007, World Bank

World Bank (2008): Implementation Completion and Results Report for the Second Local Development Project, June, 10, 2008 (World Bank, 2008)

World Bank (2008 (b): *"Project Information Document (PID) appraisal,"* AB3339, 2008.

World Bank (2008 (c): *"Project Appraisal Document on a Proposed Credit in the amount of SDR 93.8 Million (USD 150 Million Equivalent) to the Federal Democratic Republic of Ethiopia for an Urban Government Development Project"*, May 2, 2008

Useful Links

UNDP

www.undp.org/governance/sl-dlgud.htm

UNCDF

www.uncdf.org

www.uncdf.org/english/local_development/index.php

EU – European Commission – External Cooperation Programmes

www.capacity4dev.ec.europa.eu/topic/2026

OECD

http://www.oecd.org/document/8/0,3343,en_2649_35929024_36718344_1_1_1

The OECD's "Network on Fiscal Relations across Levels of Government" (or "Network on Fiscal Federalism") started work in 2004. Its main objective is to provide analysis of the relationship between central and sub-central governments, and its impact on efficiency, equity and macroeconomic stability, for both federal and unitary countries.

An important feature of the work is the collection of statistics to support the analysis. The Network has now set up its own Website. There you will find statistics, analysis and country presentations on multilevel fiscal design across OECD countries. The Website will be regularly updated, keeping you in touch with the newest developments in the area. Check also:

www.oecd.org/ctp/federalism

World Bank Decentralization Link:

<http://web.worldbank.org/WBSITE/EXTERNAL/TOPICS/EXTPUBLICSECTORANDGOVERNANCE/EXTDSRE/0,,menuPK:390249~pagePK:149018~piPK:149093~theSitePK:390243,00.html>

http://publications.worldbank.org/e-commerce/catalog/product?item_id=5459062

www.worldbank.org/socialaccountability/sourcebook

JICA

www.jica.go.jp/english/publications/reports/study/topical/

Comparative studies on experiences from decentralisation in East Africa, see:

Decentralised Service Delivery in East Africa A Comparative Study of Uganda, Tanzania and Kenya

<http://www.jica.go.jp/english/publications/reports/study/topical/africa/index.html>

UGANDA CASE REPORT

<http://www.jica.go.jp/english/publications/reports/study/topical/uganda/>

KENYA CASE REPORT

<http://www.jica.go.jp/english/publications/reports/study/topical/kenya/>

TANZANIA CASE REPORT

<http://www.jica.go.jp/english/publications/reports/study/topical/tanzania/>

SYNTHESIS REPORT

<http://www.jica.go.jp/english/publications/reports/study/topical/synthesis/>

OECD/World Bank: Fiscal Decentralisation Initiative (<http://lgi.osi.hu/fdi/>)

<http://lgi.osi.hu/fdi/>

or <http://www1.worldbank.org/wbiep/decentralization> (seems to be out of operation at the moment)

2000 Surveys on Fiscal Design - Report on Activities

Surveys were carried out in: Czech Republic, Estonia, Latvia, Lithuania, Hungary and Poland.

The country reports have been prepared by the central governments in each of the countries. They give a presentation of expenditure and taxing powers across levels of governments in each of the six countries, together with an evaluation of subnational discretion in financial decision-making and the nature of the 'hard budget constraints', imposed by central governments on the subnational authorities.

- [Czech Republic](#)
- [Estonia](#)
- [Latvia](#)
- [Lithuania](#)
- [Hungary](#)
- [Poland](#)

Summary note

The summary note has been prepared by the OECD Secretariat. The OECD Tax Policy Study no. 7, Fiscal Design surveys across levels of Governments brings together comparative quantitative and qualitative results of the six country surveys with a view of supporting policy considerations on fiscal decentralisation reforms in these countries. Executive summaries of the country reports can also be found in the said Tax Policy Studies.

- [Summary Note](#)

Danish EU Conference: Decentralisation: Trends, Perspectives and issues at the threshold of EU Enlargement.

Fiscal Decentralisation Initiative documentation for the Conference held in Copenhagen on 10-11 October 2002. The documentation covers the ten country surveys as prepared in 2000-2001 in CEE and some benchmark presentations on 8 EU Member countries.

- Report: [Fiscal Decentralisation in EU Applicant States and Selected EU Member States](#)
- [Executive Summaries on EU Applicant States and EU Selected Member States](#)
- Presentation by Mr. Jeffrey Owens, Head, Centre for Tax [Policy and Administration: Fiscal Design Across Levels of Government: Applicant States and EU Member States](#)

World Bank/ OECD / CDE Joint Project on Statistical Capacity Building at Subnational Level

The program aims to strengthen evidence-based policy-making at the subnational level in Bulgaria, Romania, Slovenia, Slovak Republic and Ukraine. The program will

try to achieve this goal by building analytical capacity in collecting subnational statistics.

For more information on the Statistical Capacity Building Project, please address: <http://www.worldbank.org/wbi/publicfinance/decentralization/statistics.html>

Various reports on fiscal decentralization see: www.dege.dk