# Local Government OMBUDSMAN

# The Local Government Ombudsman's Annual Letter Stockport Metropolitan Borough Council

for the year ended 31 March 2008

The Local Government Ombudsman (LGO) provides a free, independent and impartial service. We consider complaints about the administrative actions of councils and some other authorities. We cannot question what a council has done simply because someone does not agree with it. If we find something has gone wrong, such as poor service, service failure, delay or bad advice, and that a person has suffered as a result, the Ombudsmen aim to get it put right by recommending a suitable remedy. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

#### Annual Letter 2007/08 - Introduction

This annual letter provides a summary of the complaints received about Stockport Metropolitan Borough Council and comments on the authority's performance and complaint-handling arrangements.

I hope that the letter will assist you in improving services by providing a useful perspective on how some people who are dissatisfied experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

## **Complaints received**

#### Volume

Last year 63 complaints were received against the Council, marginally more than the 59 received in both of the two previous years.

## Character

The largest single category of complaint was about housing (13) followed by both planning (8) and transport (8).

## Liaison with the Local Government Ombudsman

In 2006/07 the Council provided responses to the first enquiries upon 19 complaints in an average of just under 26 calendar days. Last year upon a smaller number of complaints (14) it responded to first enquiries within an average of just over 28 calendar days. Last year nearly 60% of metropolitan councils, like Stockport, responded within 28 calendar days, and hopefully next year Stockport will once more be among them.

#### **Decisions on complaints**

Decisions were taken upon 60 complaints last year, 25 of which were premature complaints, where the Council had not yet had a proper chance to deal with the complaint itself. Another nine lay outside my jurisdiction, and another 22 were not pursued because, for example, no maladministration had been found.

#### **Reports and local settlements**

We will often discontinue enquires into a complaint when a council takes or agrees to take action that we consider to be a satisfactory response – we call these local settlements. In 2007/08 the Local Government Ombudsmen determined 27% of complaints by local settlement (excluding 'premature' complaints and those outside our jurisdiction).

Last year four such complaints were locally settled, and payments by the Council to complainants totalling £1,250 were made.

If an investigation is completed I issue a public report. The largest payment of £1,000 was made following the completion of my investigation and the publication of a public report. The complainant's son has special educational needs, and the Council had failed to fulfil its duty to her son during his secondary school education. The Council failed to amend the Statement of Special Educational Needs; to respond to the complainant's request for an alternative school place and for a re-assessment of her son's needs, so that he left school without fulfilling his academic potential, and with no enthusiasm for further education.

In addition to the payment of £1,000, the Council has agreed to create a special fund equivalent to three years basic wages for a teenage boy plus three years college fees to meet his costs and fees should he choose to return to education in the next decade.

## Training in complaint handling

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. A detailed evaluation of the training provided to councils over the past three years shows very high levels of satisfaction.

The range of courses is expanding in response to demand. In addition to Good Complaint Handling (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution) we now offer these courses specifically for social services staff and a course on reviewing complaints for social care review panel members. We will customise courses to meet your Council's specific requirements and provide courses for groups of staff from different smaller authorities.

Participants benefit from the complaint-handling knowledge and expertise of the experienced investigators who present the courses.

I enclose information on the full range of courses available together with contact details for enquiries and any further bookings.

#### LGO developments

We launched the LGO Advice Team in April, providing a first contact service for all enquirers and new complainants. Demand for the service has been high. Our team of advisers, trained to provide comprehensive information and advice, has dealt with many thousands of calls since the service started.

The team handles complaints submitted by telephone, email or text, as well as in writing. This new power to accept complaints other than in writing was one of the provisions of the Local Government and Public Involvement in Health Act, which also came into force in April. Our experience of implementing other provisions in the Act, such as complaints about service failure and apparent maladministration, is being kept under review and will be subject to further discussion. Any feedback from your Council would be welcome.

Last year we published two special reports providing advice and guidance on 'applications for prior approval of telecommunications masts' and 'citizen redress in local partnerships'. Feedback on special reports is always welcome. I would particularly appreciate information on complaints protocols in the governance arrangements of partnerships with which your Council is involved.

# Conclusions and general observations

I welcome this opportunity to comment on our experience of complaints about the Council over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Anne Seex Local Government Ombudsman Beverley House 17 Shipton Road YORK YO30 5FZ

June 2008

Enc: Statistical data Note on interpretation of statistics Leaflet on training courses (with posted copy only)

omplaints received y subject area	Adult care services	Benefits	Children and family services	Education	Housing	Other	Planning & building control	Public finance	Transport and highways	Total
01/04/2007 -	7	1	5	2	13	18	8	1	8	63
31/03/2008 2006 / 2007	10	0	4	7	7	13	11	1	6	59
2005 / 2006	10	1	4	3	11	20	6	1	3	59

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions		MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
01/	/04/2007 - 31/03/2008	1	3	0	0	16	6	9	25	35	60
200	06 / 2007	1	7	0	0	11	9	7	18	35	53
200	05 / 2006	0	12	0	0	27	7	7	20	53	73

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES						
Response times	No. of First Enquiries	Avg no. of days to respond					
01/04/2007 - 31/03/2008	14	28.6					
2006 / 2007	19	25.8					
2005 / 2006	24	28.5					

# Average local authority response times 01/04/2007 to 31/03/2008

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	56.4	24.6	19.1
Unitary Authorities	41.3	50.0	8.7
Metropolitan Authorities	58.3	30.6	11.1
County Councils	47.1	38.2	14.7
London Boroughs	45.5	27.3	27.3
National Park Authorities	71.4	28.6	0.0